



# RECONSTRUCTING FOREST CANOPY HEIGHT USING STEREO-IKONOS PANCHROMATIC IMAGES AND A LIDAR DTM

**Benoît St-Onge**

**Yong Hu**

**Cédric Véga**

Department of Geography

University of Quebec at Montreal, Canada

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## Problem statement

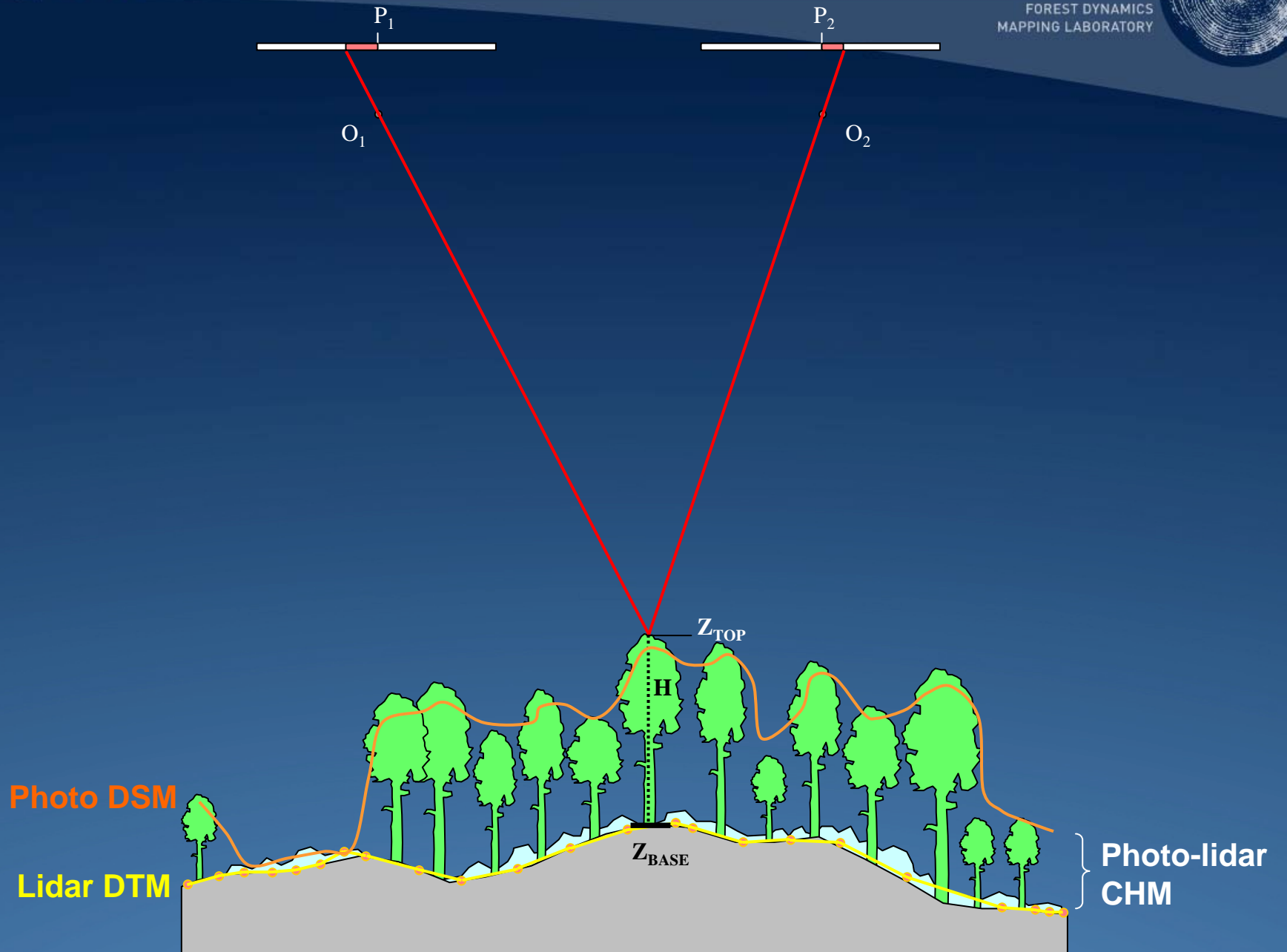
- Regularly updating the data on forest structural attributes is a key aspect of inventory and monitoring systems
- It is however still cost prohibitive to acquire large area lidar datasets on a regular basis.
- Cheaper alternatives should be explored.



## Combining lidar and photogrammetry

We have recently shown that combining a lidar DTM and photogrammetric measurements performed on aerial photos allows us to:

- measure individual tree heights manually with great accuracy,
- map canopy surface height automatically with a fair accuracy.





## Photogrammetric potential of Ikonos images

- A single Ikonos stereo-pair covers a large area in one piece (approx. 110 km<sup>2</sup>)
- It has been reported that orthorectified Ikonos images can have a high accuracy (error < 1m).
- Ikonos 3D measurements can also be very accurate.
- There is therefore a strong potential for combining an Ikonos stereo-model and a lidar DTM to measure tree or canopy height.



## Objectives

- Assess the accuracy of:
  - the Ikonos-lidar coregistration
  - manual Ikonos-lidar individual tree height measurements
  - surface reconstruction based on stereo-matching of Ikonos images
  - average dominant height estimates within 20 m x 20 m plots derived from an Ikonos-lidar CHM

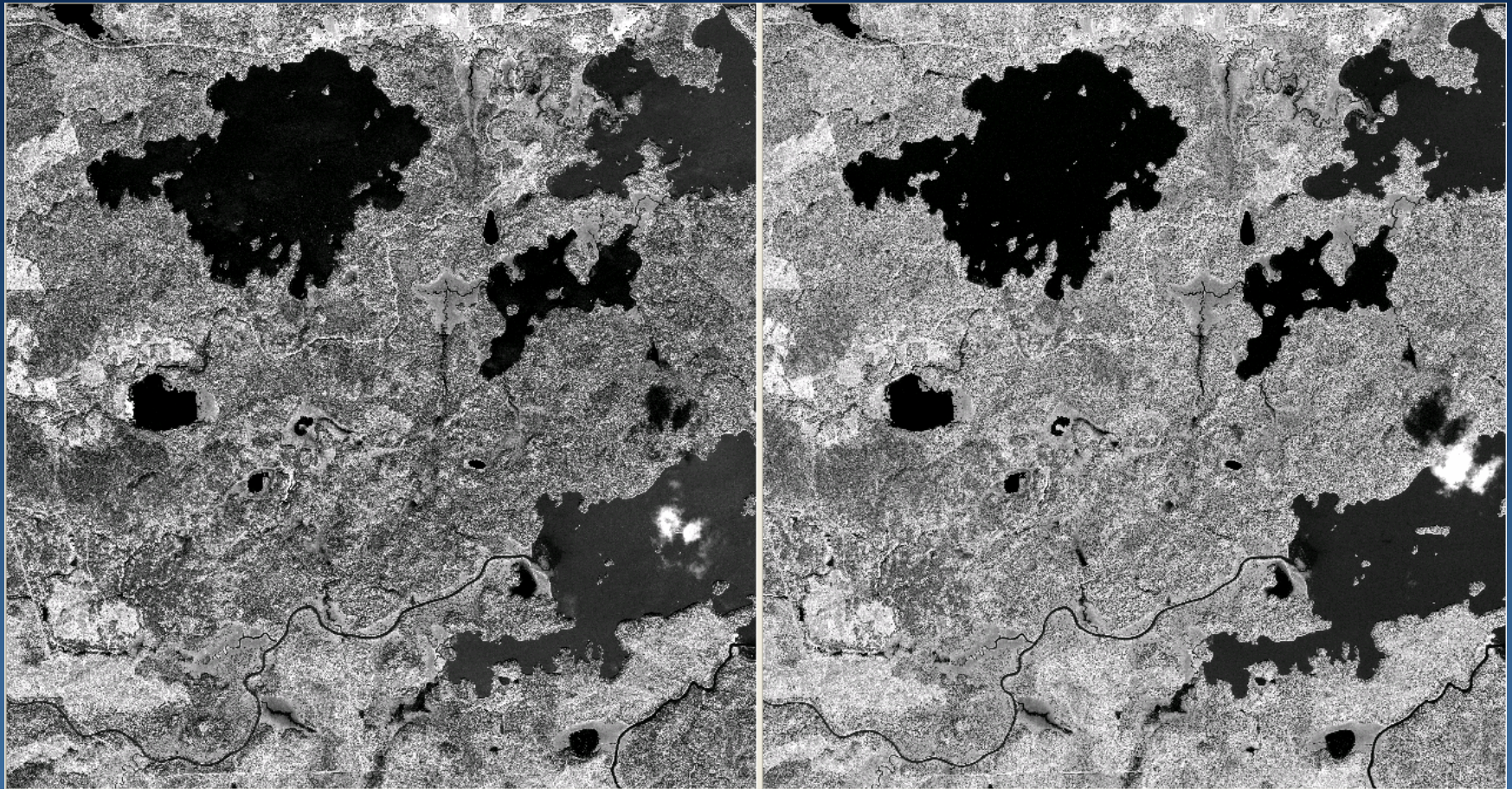




# Ikonos stereo-pair (5 September 2003)

Southern boreal mixed forest, Quebec, Canada

Epipolar-resampled Ikonos Reference level



← 10.3 km →

B:H = 0.8

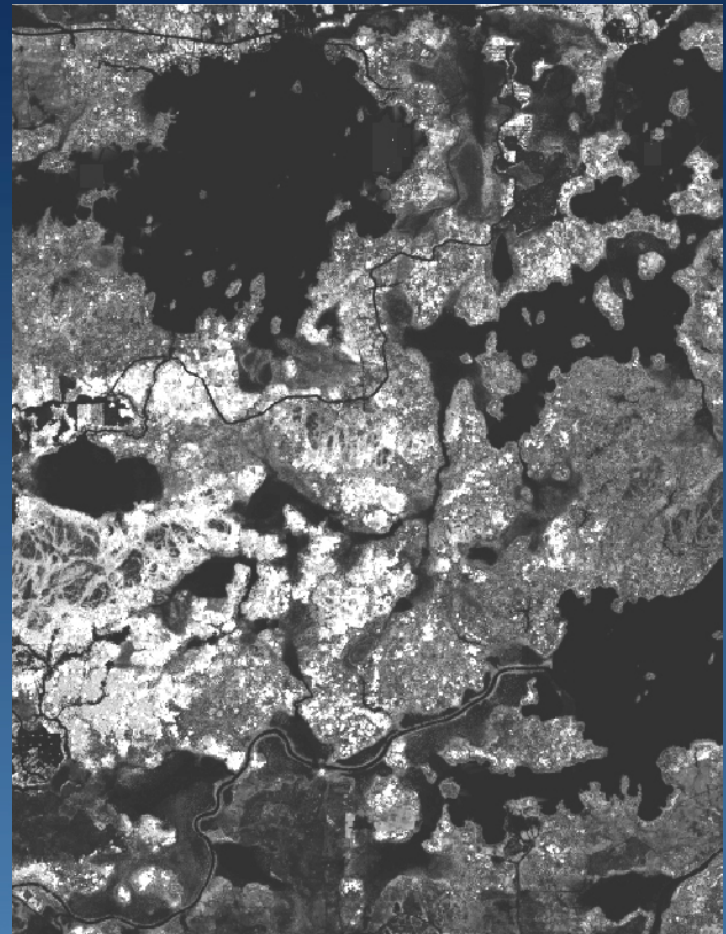




# Lidar data (14-16 August 2003)

Part of CHM shown

- ALTM2050 at 1 000 m AGL
- First returns: 3 hits/m<sup>2</sup>
- Ground classified last returns: 0.2 hits/ m<sup>2</sup>
- Total area: approx. 200 km<sup>2</sup>
- The interpolated first returns were filtered using a modified median filter







## Reference field data

- Height measurement of 211 individual trees.
- Average height of co-dominant trees measured by averaging 3 to 16 tree heights per 400 m<sup>2</sup> plots



# Coregistration of the Ikonos stereo-model and the lidar dataset

- Control points were found based on visual analysis of the Ikonos images and the lidar DSM.
- Shifts in line and sample directions detected in these features were used to update the *LineOffset* and *SampOffset* parameters in the RPC file of the Ikonos image

|             | Original RPCs |       |       | Refined RPCs |       |      |
|-------------|---------------|-------|-------|--------------|-------|------|
|             | X             | Y     | Z     | X            | Y     | Z    |
| <b>Mean</b> | -11.79        | 10.39 | -1.26 | 0.02         | -0.05 | 0.07 |
| <b>RMSE</b> | 11.81         | 10.43 | 1.30  | 0.57         | 0.60  | 0.36 |



## Individual tree height: method

- Only 112 trees out of the 211 measured in the field could be identified unambiguously on both Ikonos images.
- 13 trees were used to train the interpreter to identify the conjugate points corresponding to tree tops by comparing his height estimates to reference field heights.
- The height of the remaining 99 trees was measured using the combination of Ikonos conjugate points and lidar DTM.
- These Ikonos-lidar heights were compared to the reference heights.





## Individual tree height: results

|                | <b>Ikonos vs. Field</b> | <b>Lidar vs. Field</b> | <b>Lidar vs. field (outlier removed)</b> |
|----------------|-------------------------|------------------------|--|
| Mean           | -2.58                   | -2.03                  | -1.84                                    |
| RMSE           | 3.10                    | 3.31                   | 2.77                                     |
| R <sup>2</sup> | 0.87                    | 0.75                   | 0.84                                     |

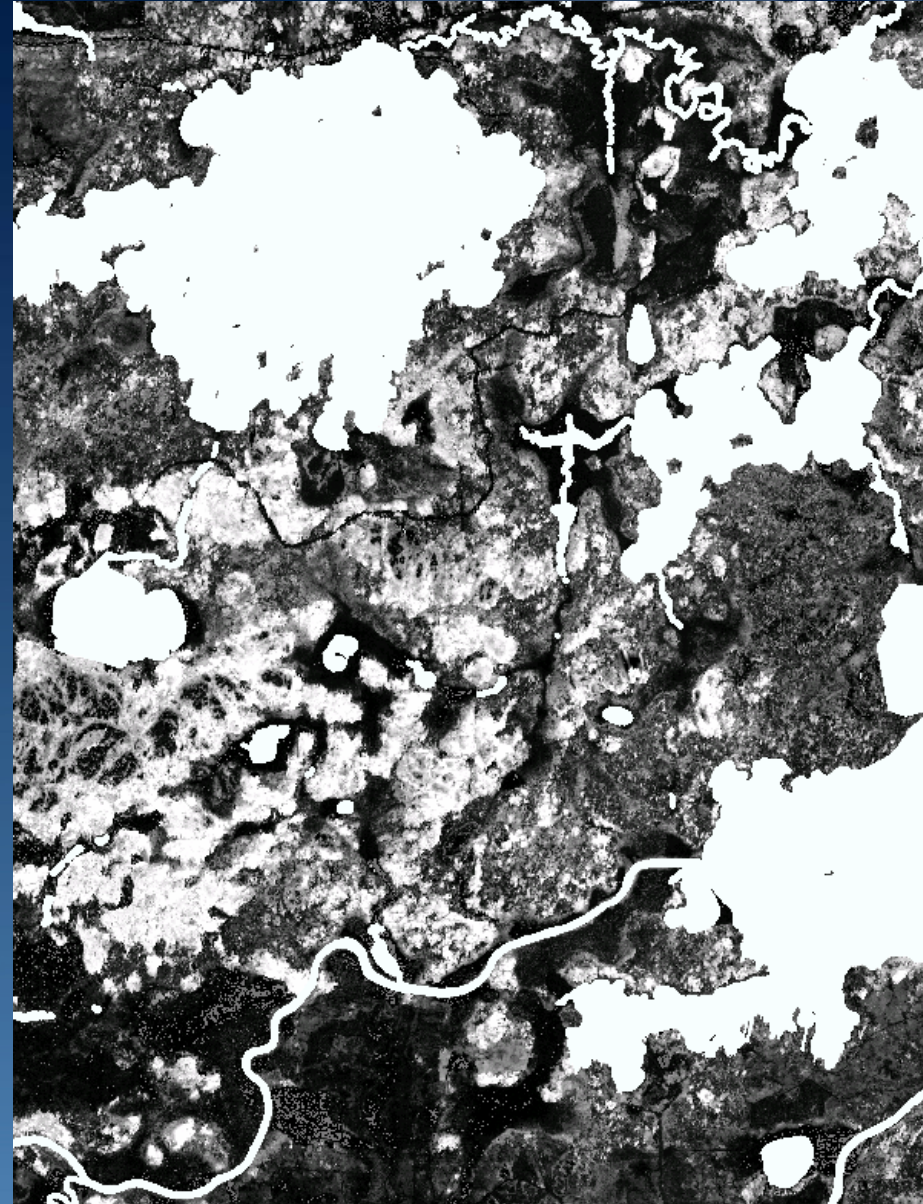
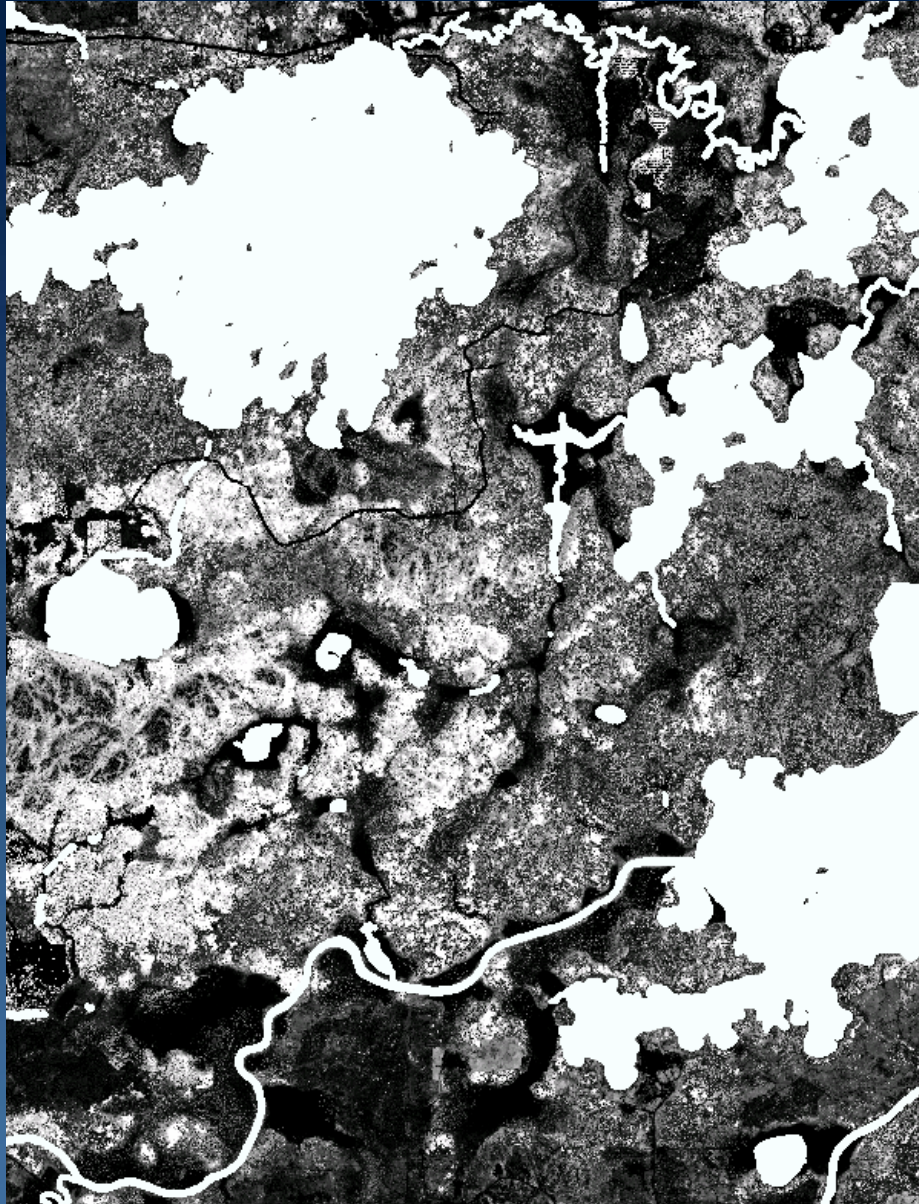
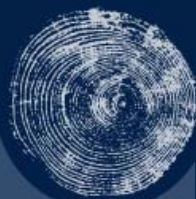
- The -2.58 bias is consistent with that of medium scale photography (e.g. 1 : 40 000).
- When this bias is removed, the Ikonos-lidar RMSE drops to 1.72 m.
- It should be remembered that the field measurements also contain errors.



## Creating an IKONOS-lidar CHM

- PCI OrthoEngine was used to compute an Ikonos DSM by stereo-matching based on the refined RPCs.
- Water bodies, clouds, and cloud shadows were masked.
- The surface was compared to the lidar DSM for 3 different types:
  - bare areas ( $\text{CHM} < 0.5 \text{ m}$ )
  - regeneration areas ( $0.5 \leq \text{CHM} \leq 5 \text{ m}$ )
  - forested areas ( $\text{CHM} > 0.5 \text{ m}$ ).

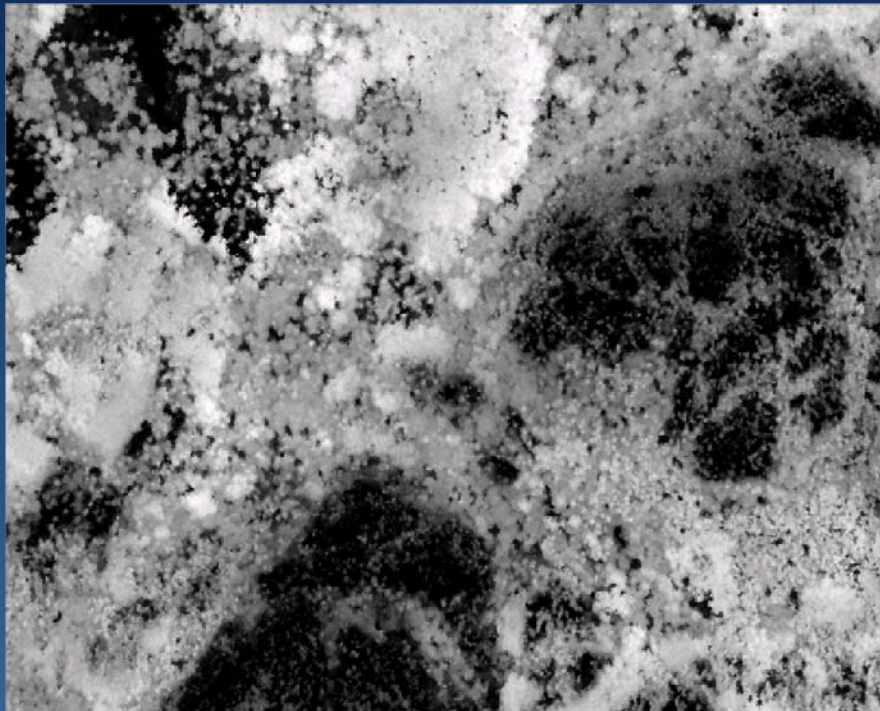






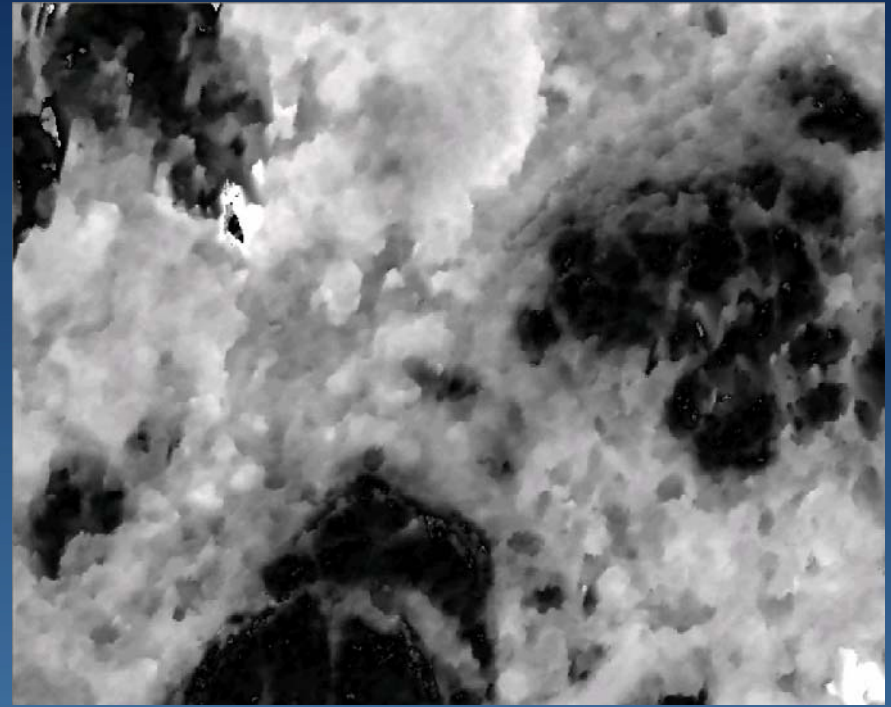


Ikonos-lidar CHM



750 m

Lidar-only CHM



750 m



## Quantitative comparison of the lidar and Ikonos DSMs: elevation differences

|                      | <b>Bare</b> | <b>Regeneration</b> | <b>Forested</b> |
|----------------------|-------------|---------------------|-----------------|
| <b>Mean (bias)</b>   | 0.74        | 0.93                | -0.38           |
| <b>Mean absolute</b> | 0.87        | 1.78                | 3.06            |
| <b>RMSE</b>          | 1.23        | 2.62                | 4.24            |



## Plot wise comparison between field and Ikonos-lidar percentiles

- For 43 plots measuring 20 m x 20 m, we have extracted from the Ikonos-lidar CHM the following statistics :
  - mean, height at percentiles 0, 50, 75, 90, 95, 99, 100.
- These values were regressed against the field values for mean co-dominant height.





## Coefficient of determination ( $R^2$ ) between Ikonos-lidar plot percentiles and field heights

|                                      | mean | 0 <sup>th</sup> | 50 <sup>th</sup> | 75 <sup>th</sup> | 90 <sup>th</sup> | 95 <sup>th</sup> | 99 <sup>th</sup> | 100 <sup>th</sup> |
|--------------------------------------|------|-----------------|------------------|------------------|------------------|------------------|------------------|-------------------|
| <b>Ikonos-lidar</b>                  | 0.42 | 0.29            | 0.39             | 0.48             | 0.50             | 0.52             | 0.52             | <b>0.53</b>       |
| <b>Ikonos-lidar minus 5 outliers</b> | 0.72 | 0.45            | 0.68             | 0.82             | 0.85             | 0.88             | 0.90             | <b>0.91</b>       |
| <b>Lidar only minus 5 outliers</b>   | 0.86 | 0.21            | 0.89             | 0.93             | 0.94             | 0.94             | <b>0.95</b>      | 0.93              |

- The standard error of the estimate for the best Ikonos-lidar regression ( $R^2 = 0.91$ ) is 2.08 m.



## Comparing lidar and Ikonos-lidar plot statistics over the entire overlap area

- "Virtual" 20 m x 20 m were extracted from both CHMs at 100 m intervals, yielding 4803 plots.
- The following statistics were extracted from both the lidar and the corresponding Ikonos-lidar CHMs :
  - mean, height at percentiles 0, 50, 75, 90, 95, 99, 100.
- $R^2$  were calculated by regressing the Ikonos-lidar statistics againsts the corresponding lidar statistics



## Coefficient of determination between the lidar and Ikonos-lidar statistics for 4803 plots

| mean        | 0 <sup>th</sup> | 50 <sup>th</sup> | 75 <sup>th</sup> | 90 <sup>th</sup> | 95 <sup>th</sup> | 99 <sup>th</sup> | 100 <sup>th</sup> |
|-------------|-----------------|------------------|------------------|------------------|------------------|------------------|-------------------|
| <b>0.87</b> | 0.38            | 0.85             | 0.85             | 0.83             | 0.79             | 0.72             | 0.66              |

- The standard error of the estimate for the strongest relation (mean) was 1.90 m.





## Conclusions

- An Ikonos stereo-model can be registered to a lidar DTM with a sub-meter accuracy.
- The height of well defined individual trees can be manually estimated from the Ikonos stereo-model and the lidar DTM with an RMSE of approximately 1.7 m once the 2.6 m downward bias is corrected.
- The average co-dominant plot height can be estimated with a standard error of estimate of approximately 2 m where no matching blunder occurred.
- The effect of localized matching blunders is minor as reflected by the fact that the mean lidar height within 4803 plots could be predicted based on the Ikonos-lidar CHM with a standard error of estimate of 1.9 m.



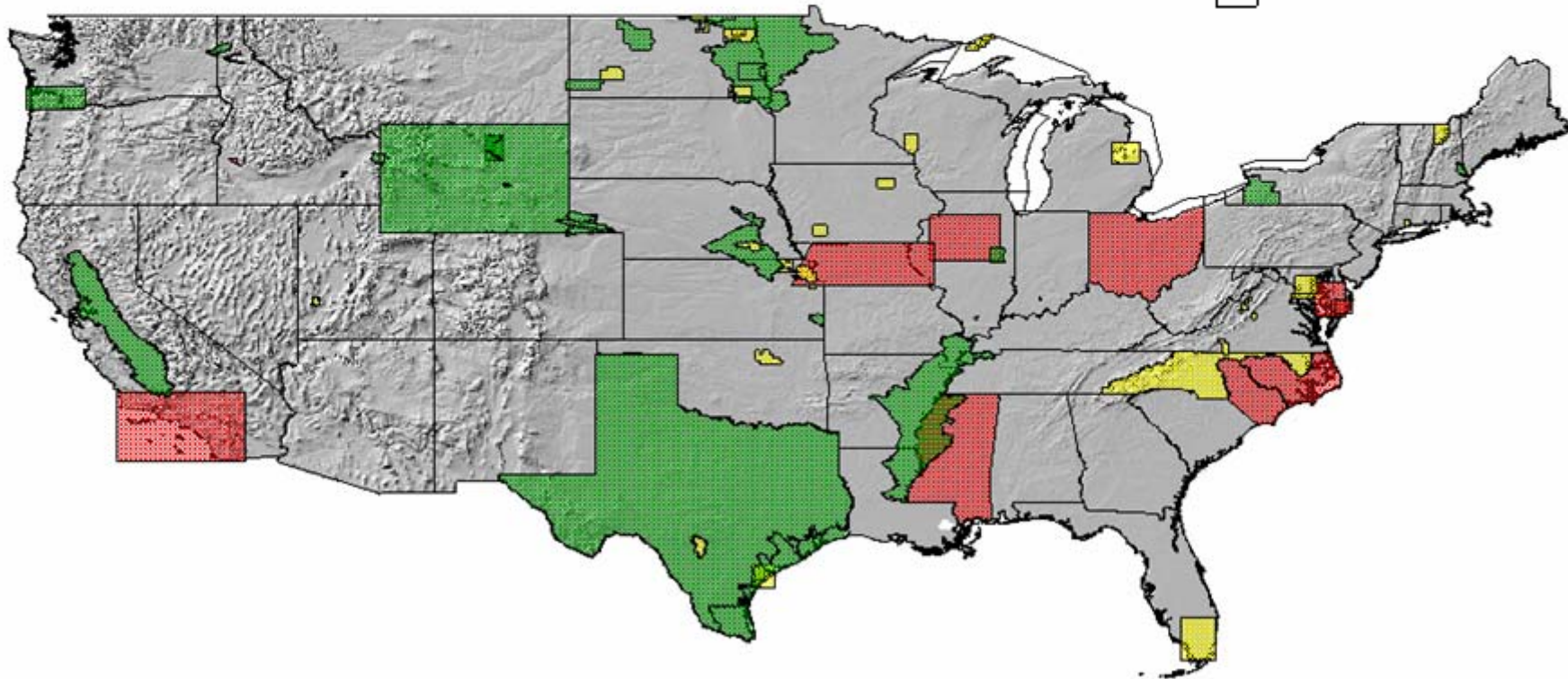
## National Digital Elevation Program



## Project Tracking

### Legend NDEP Projects

-  Completed
-  InWork
-  Planned
-  State Boundaries



Calculate price

Pixel maps

Height models

DHM25

• DOM - DTM-AV

RIMINI

Derivative

Landscape models

SwissNames

General maps

Digital images

Siegfried Map

CD-ROM

CD Support

# swisstopo web site for lidar data distribution

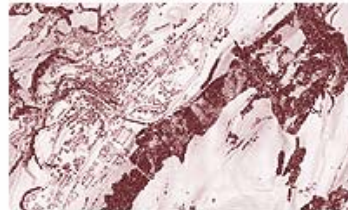


## Height model for highest demands

- ◆ height model of the earth's surface with (DOM) or without (DTM-AV) vegetation and buildings
- ◆ based on highly accurate laser observations
- ◆ for high-precision modelling of the earth's surface below 2000 m

### Height models

#### DOM oblique shading



- represents the earth's surface
- surface with vegetation and buildings

#### DTM-AV oblique shading



- represents the topography of the earth's surface
- surface without vegetation and buildings

### Formats

#### DOM

INTERLIS-1  
ASCII x y z

#### DTM-AV

ASCII x y z

#### Accuracy

DOM  
in open terrain:  $\pm 0.5 \text{ m } 1\sigma$   
in terrain with vegetation:  $\pm 1.5 \text{ m } 1\sigma$   
DTM-AV  
 $\pm 0.5 \text{ m } \sigma$

### Perimeter / Production status



DOM



DTM-AV

### Test data

[Different data sets](#) for downloading and testing.

### Information

Flyer



(753K)

Price list



(181K)

### Distribution

Directly [online](#) or via [geodata@swisstopo.ch](mailto:geodata@swisstopo.ch).



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