

# Criteria for geohazard assessment

**John M. Reynolds**

*Reynolds International Ltd,  
Mold, UK*



# Acknowledgements

With grateful thanks to the following for collaboration over the years:

- Neil Glasser, Mike Hambrey, Bryn Hubbard, Richard Lucas, Duncan Quincey, and Shaun Richardson, Aberystwyth University
- Adrian Luckman, Swansea University
- Andy Kääb, Oslo University
- Christian Huggel, University of Zurich, Switzerland
- Marco Zapata and colleagues, formerly INRENA, Peru
- Surendra Pant, Tribhuvan University, Nepal
- Department of Hydrology & Meteorology, Kathmandu, Nepal
- Jörg Hanisch, formerly BGR, Germany

# Workshop objectives

## Guidelines for the assessment of remote geohazards

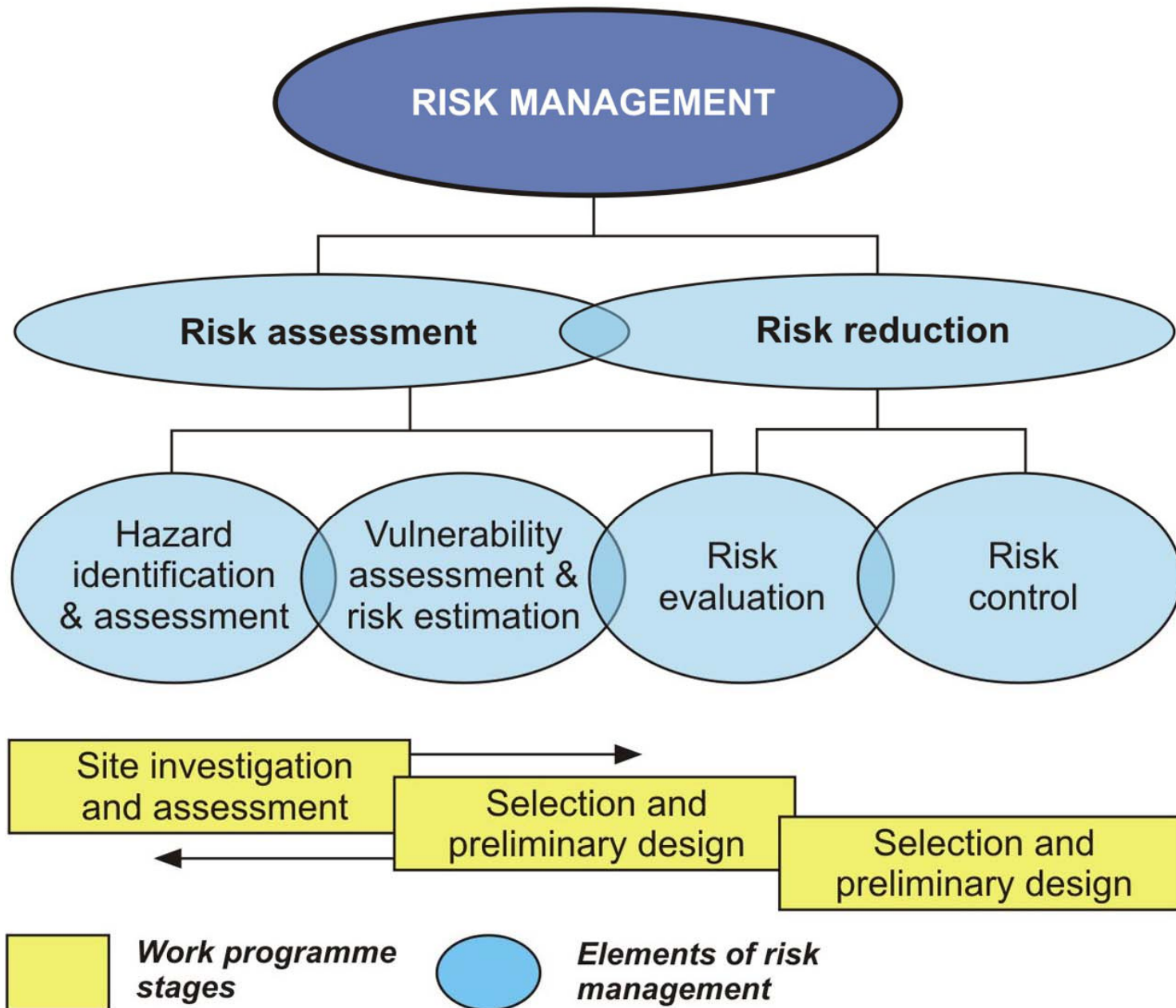
“This should be a generic and consistent approach which is regionalizable and adaptable for different types of hazards, different socio-economic environments and different availability of data”

Martin Mergali & Christian Huggel.

**Hazard:** *A potentially damaging physical event, phenomenon or human activity, which may cause loss of life or injury, property damage, social and economic disruption or environmental degradation.*

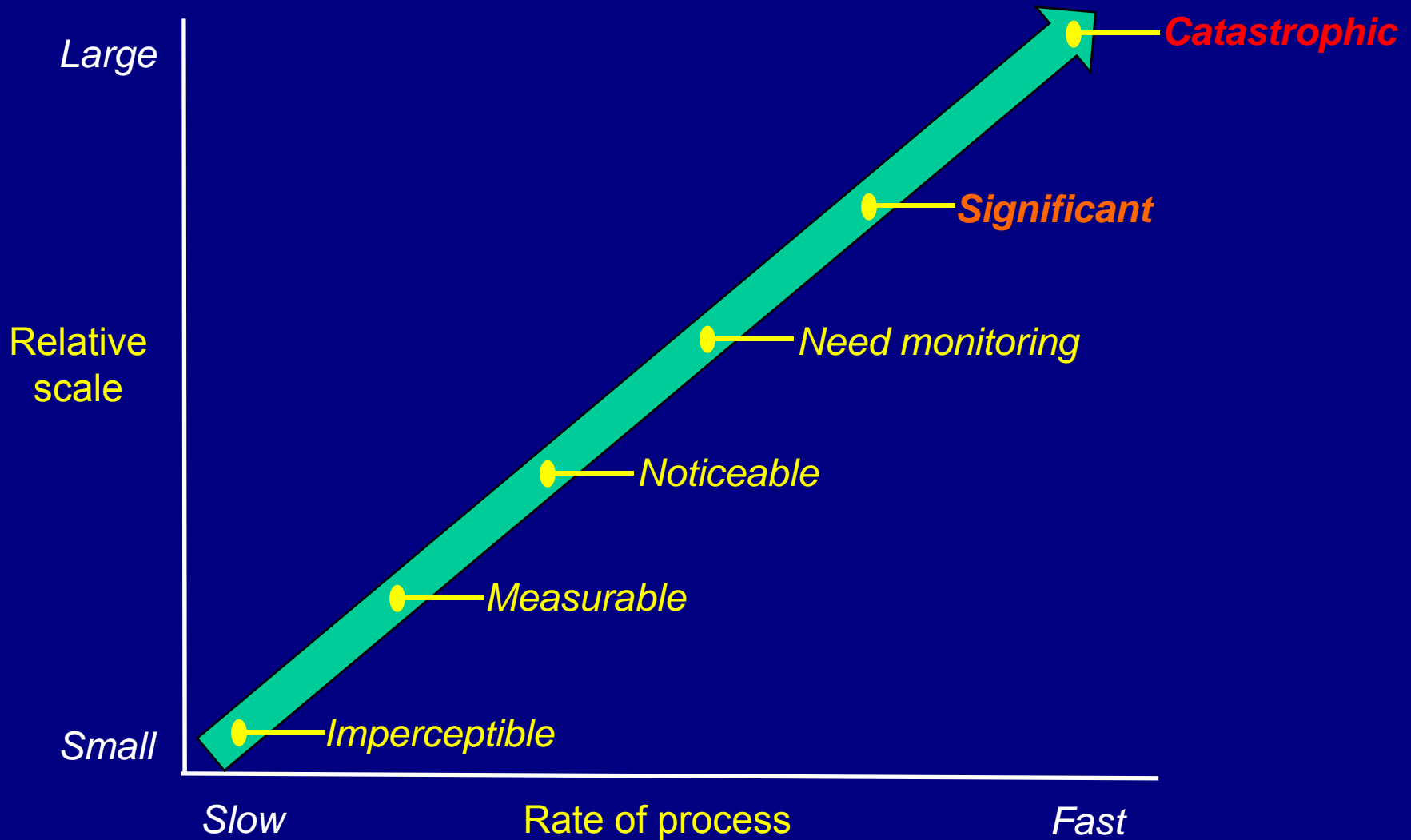
(ISDR, 2002)

$$\text{RISK} = \text{Fn}[\text{Hazard} * \text{Vulnerability}]$$

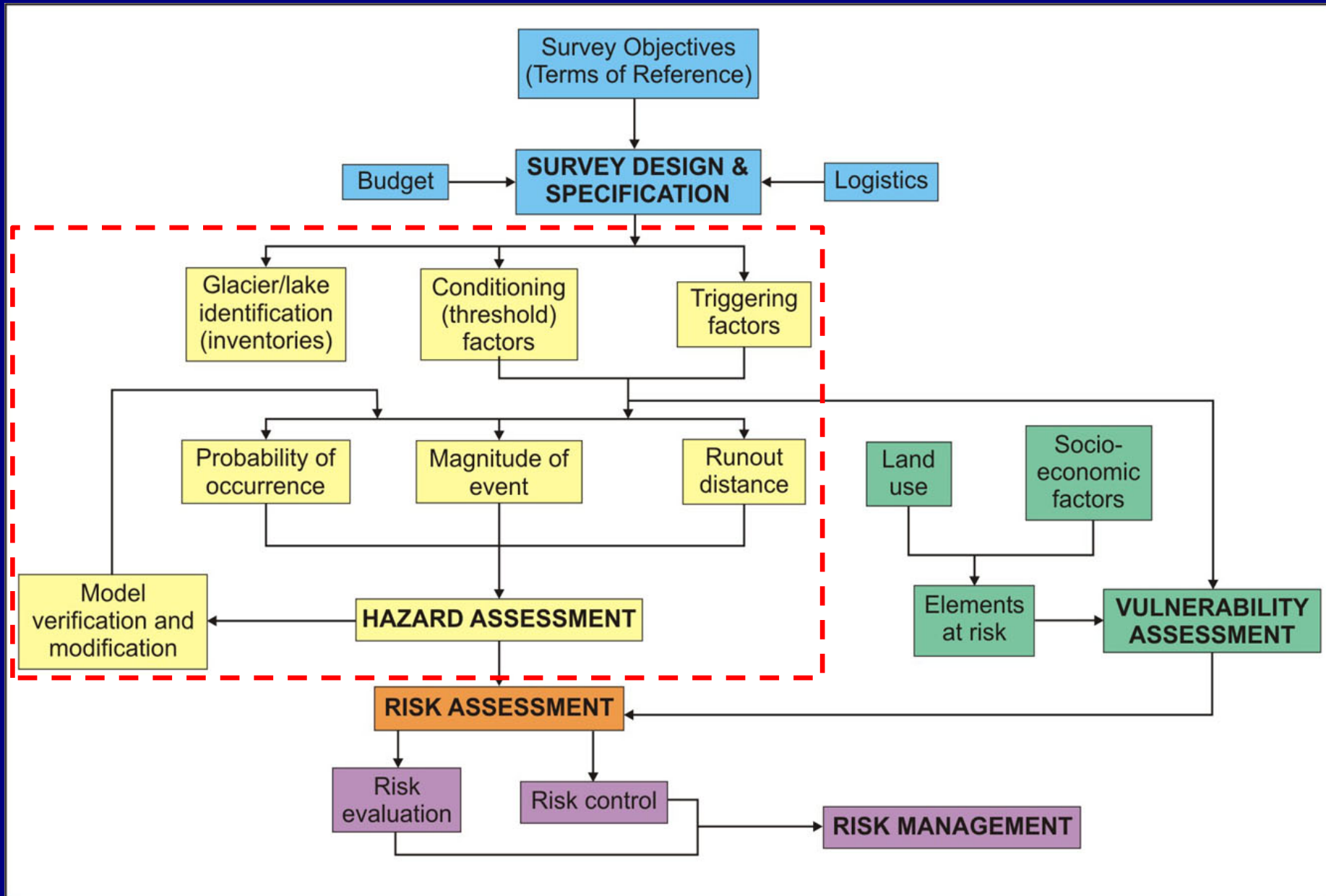


After Harris & Herbert (1994)

# Definition of processes



# Example project structure for glacial risk assessment and management

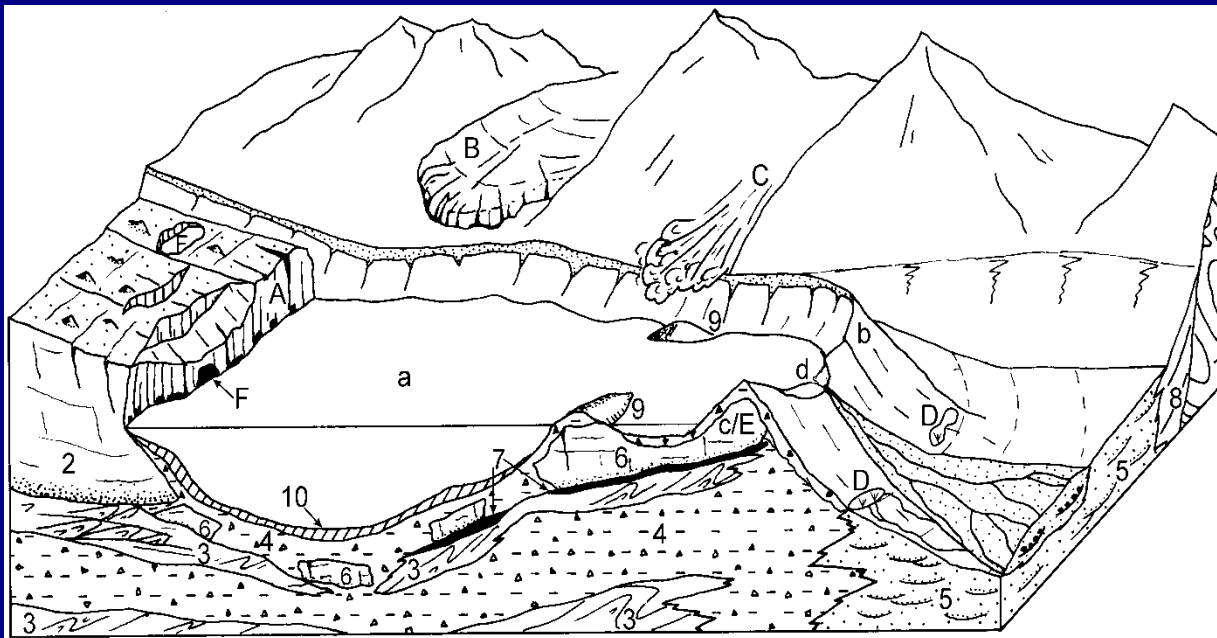


From *Guidelines for the management of glacial hazards and risks*, RGSL, 2003.

# Glacial lake hazard assessment

## Glacial lake system approach

- Terminal moraine complex
- Stagnant debris-covered ice
- Lateral moraines
- Source glaciers
- Valley flanks & environs



**Static and  
temporal  
conditions**

(Richardson & Reynolds, 2000)





Proto-pro-glacial lake

Pro-glacial lake

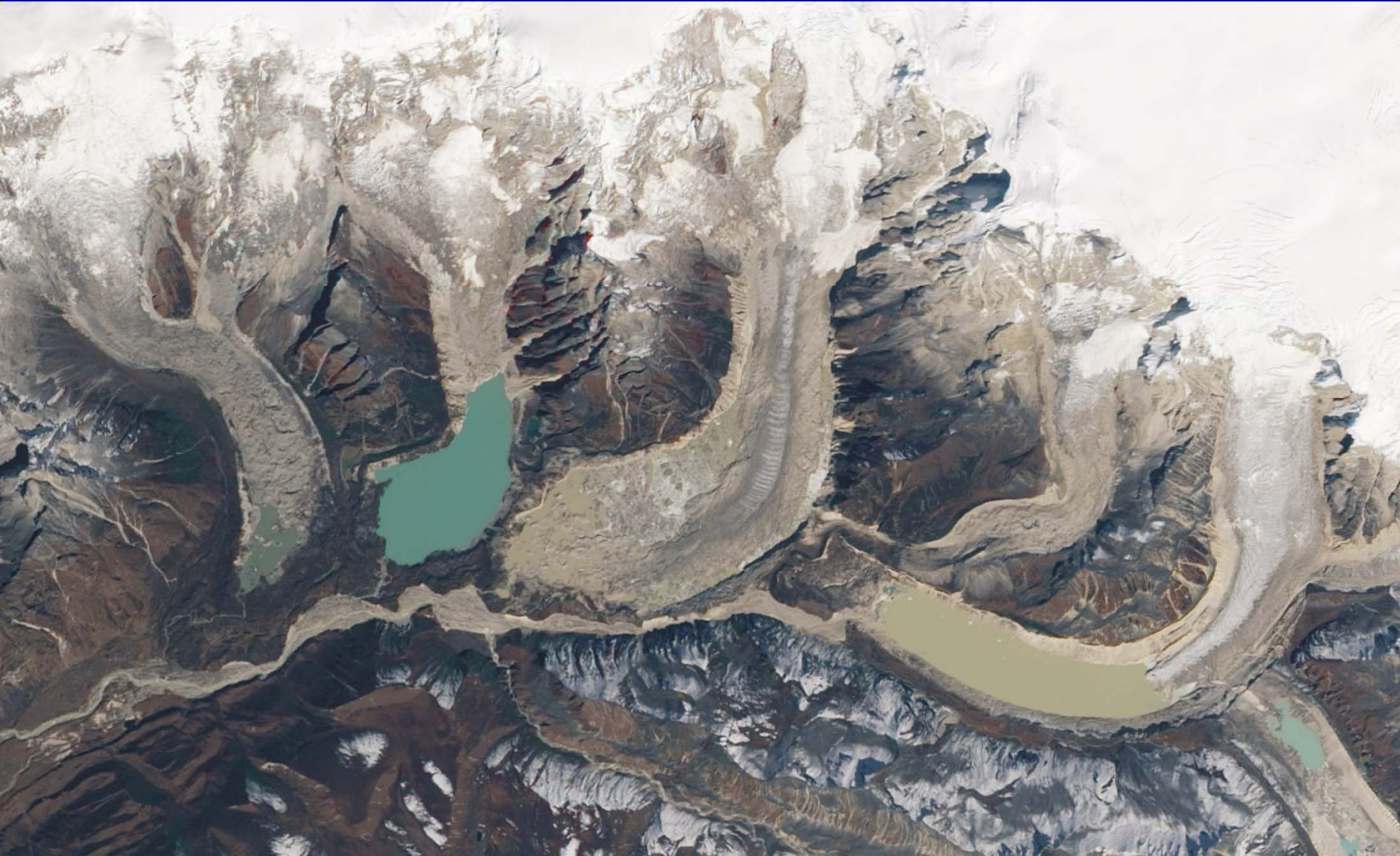
Disintegrating compound  
glacier tongue

Former supra-glacial lake

Lunana lakes, northern Bhutan

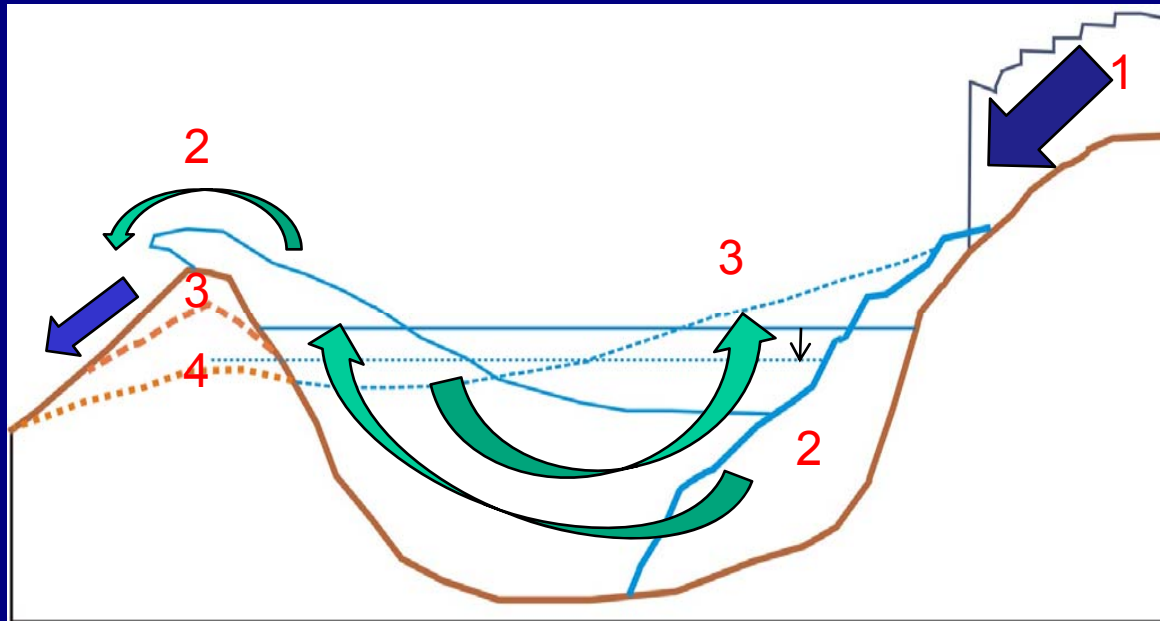


# Lunana lakes, northern Bhutan



28<sup>th</sup> October 2009

# Multi-phase events



1. Avalanche into lake
  2. Displacement wave overtops moraine with some discharge
  3. Runback of wave and re-surge forwards with regressive erosion of moraine
  4. Breach of moraine and lowering of lake level ...
- Hydrograph will show initial surge from overtopping wave (2);
  - Reduction in flow during the runback stage (3), with a further pulse when the re-surge occurs perhaps with increased base flow;
  - Breach of moraine occurs and main lowering of lake level (4) ...

# Relationships

| Parameter                    | Affects                         |
|------------------------------|---------------------------------|
| Lake depth near breach point | Peak flow rate                  |
| Breach mechanism             | Peak flow rate                  |
| Breach dimensions            | Peak flow rate & flood duration |
| Lake volume                  | Flood duration                  |

??Implications for flood modelling??



# Hazard assessment – Multi-Criteria Analysis

| ID | Parameter affecting hazard\Score*                     | 0      | 2      | 10      | 50    |
|----|---|--------|--------|---------|-------|
|    | <i>Volume of lake</i>                                 | N/A    | Low    | Mod.    | Large |
|    | <i>Lake level relative to freeboard</i>               | No dam | Low    | Mod.    | Full  |
|    | <i>Seepage evident through dam</i>                    | None   | Min.   | Mod.    | Large |
|    | <i>Ice-cored moraine dam +/- thermokarst features</i> | N/A    | Min.   | Partial | >Mod. |
|    | <i>Calving activity from ice cliff</i>                | N/A    | Low    | Mod.    | Large |
|    | <i>Ice/rock avalanche risk</i>                        | N/A    | Low    | Mod.    | Large |
|    | <i>Supra- / englacial drainage</i>                    | None   | Low    | Mod.    | Large |
|    | Compound risk present                                 | None   | Slight | Mod.    | Large |

*Threshold parameter*

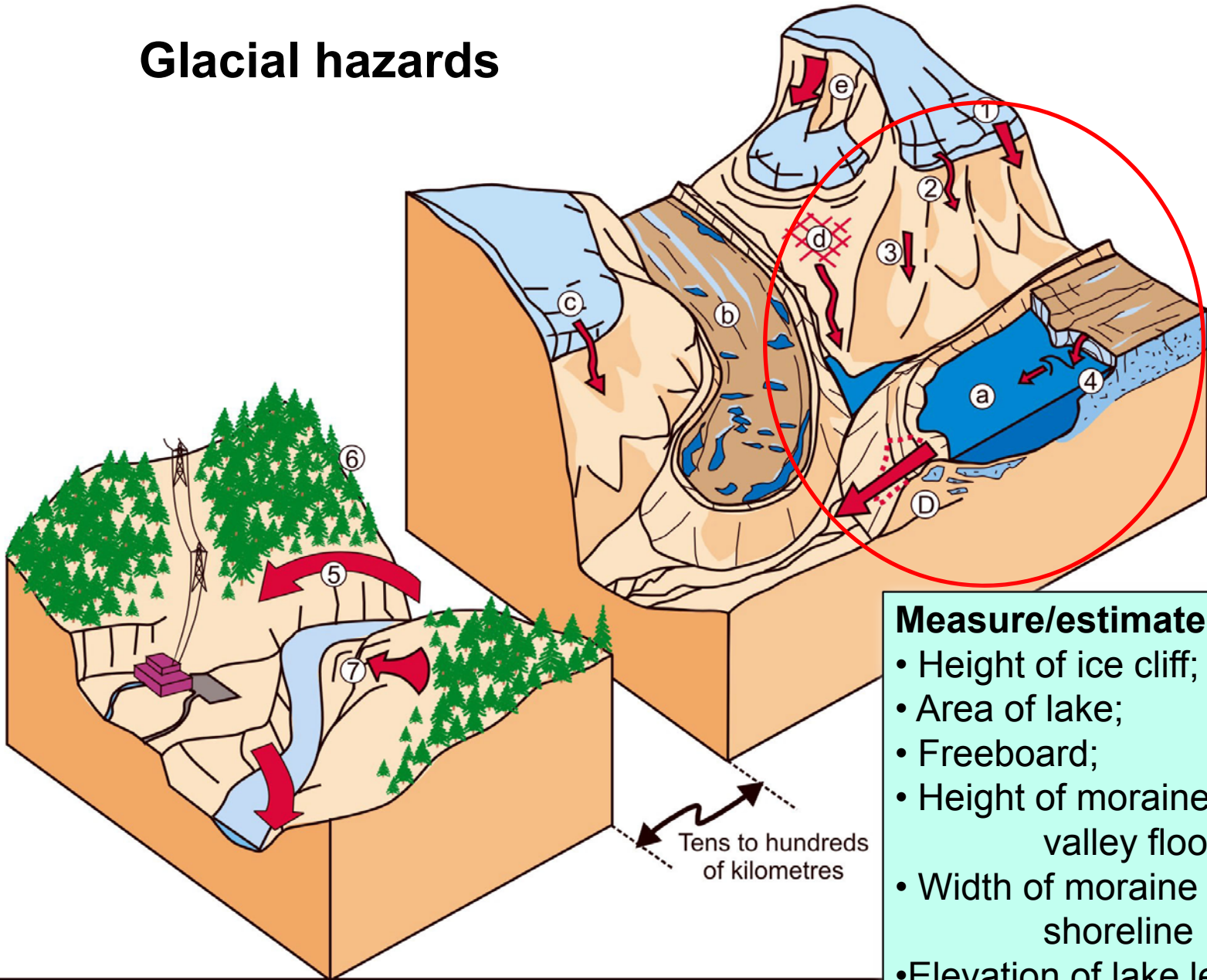
*Trigger potential parameter*

*\*Criteria for each score can be defined*

| Zero | Medium hazard | Serious                                  | High | Very high |
|------|---------------|--|------|-----------|
|      |               | >>> An outburst can occur at any time>>> |      |           |

(Modified from Dyce and Reynolds, 1998)

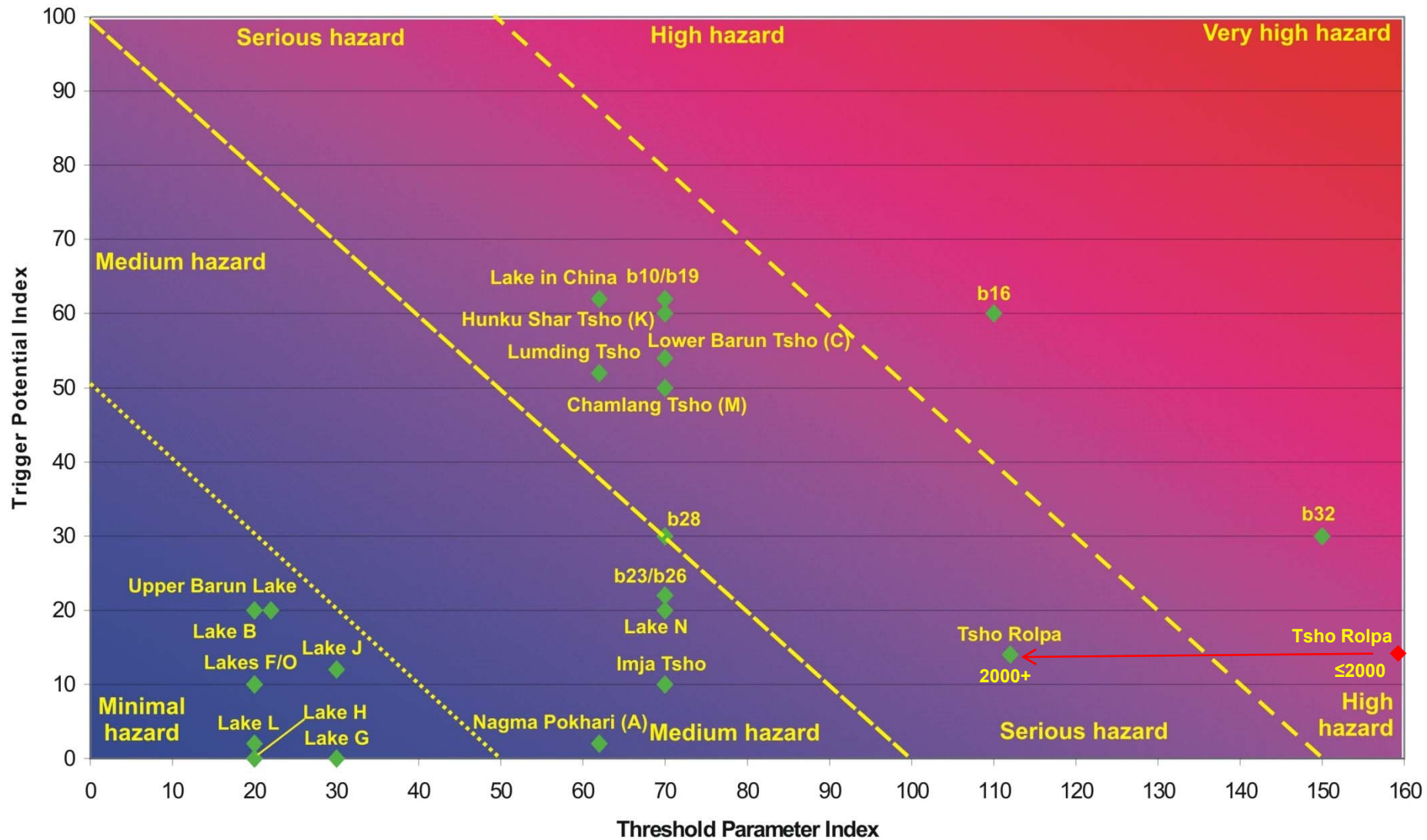
# Glacial hazards



### Measure/estimate:-

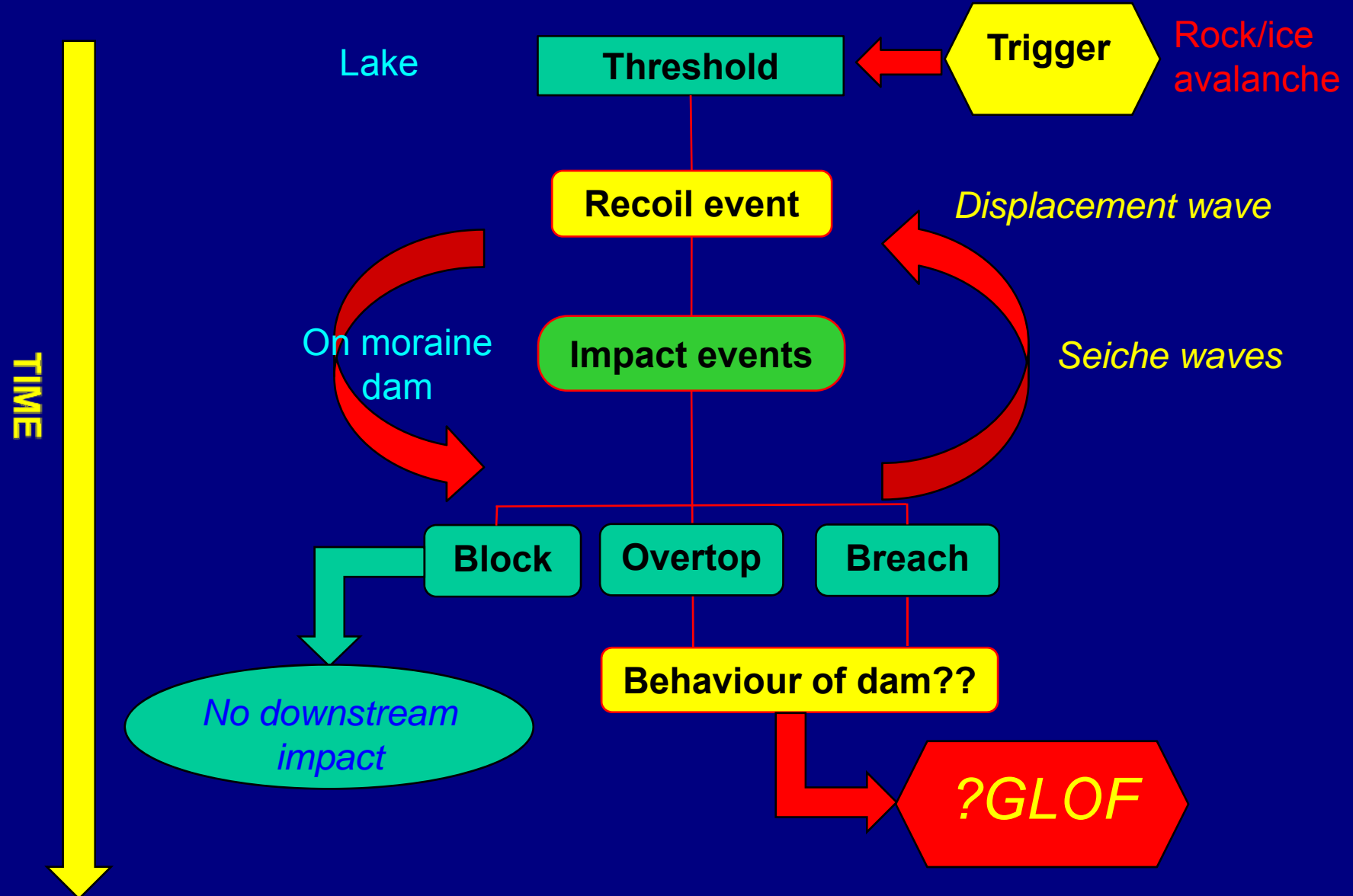
- Height of ice cliff;
- Area of lake;
- Freeboard;
- Height of moraine above valley floor
- Width of moraine dam at shoreline
- Elevation of lake level relative to adjacent valley floor

## Multi-Criteria Analysis for northeast Nepal





# Hazard event development



# Hazard assessment – Multi-Criteria Analysis

| ID          | Parameter affecting hazard\Score* | 0      | 2    | 10      | 25   | 50    |
|-------------|-----------------------------------|--------|------|---------|------|-------|
| 1           |                                   | N/A    | Low  | Med.    |      |       |
| 2           |                                   | No dam | Low  | Med.    |      |       |
| 3           |                                   | None   | Min. | Med.    | Mod. | Large |
| Parameter 4 |                                   | N/A    | Min. | Partial | Med. | >Mod. |
| Parameter 1 |                                   | N/A    | Low  | Med.    | Mod. | Large |
| Parameter 2 |                                   | N/A    | Low  | Med.    | Mod. | Large |
| Parameter 3 |                                   | None   |      |         |      |       |
| Parameter 4 |                                   | None   |      |         |      |       |

Can also be done also for **vulnerability** rating

Identify and define your threshold parameters

Identify and define your trigger parameters

Establish your weightings and your **criteria**

Can be defined qualitatively (estimated) or quantitatively (measured)

Threshold parameter

Trigger potential

\*Criteria for each score can be defined

| Zero | Medium hazard | Serious | High | Very high |
|------|---------------|---------|------|-----------|
|      |               |         |      |           |

Define your grade boundaries

A hazardous process can occur at any time>>>

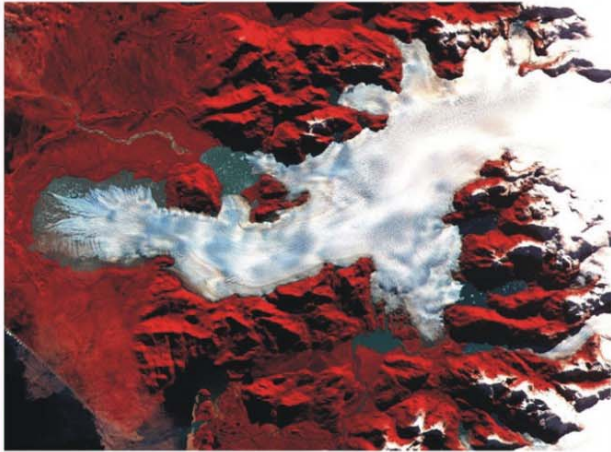
# Hazard assessment – fuzzy logic

- The MCA approach introduced the notion of using multiple objective parameters to determine a hazard rating.
- With satellite imagery, GIS and AI software elements available, it should be possible to develop a system that automatically measures, defines and maps designated parameters and provides an output suitable for hazard managers (Kargel *et al.* 2009).
- Start to be able to use these systems for hazard monitoring and short-onset identification of forthcoming geohazard events (e.g. by identifying pre-cursor changes in run-off water colour, *etc.*)

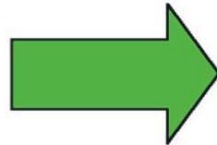


# Fuzzy logic hazard assessment

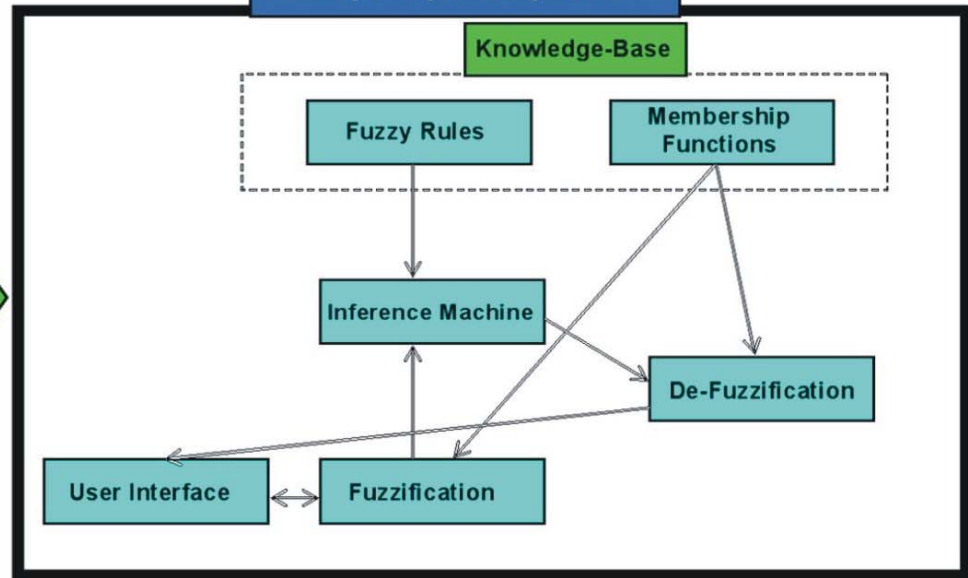
## Image Preprocessing



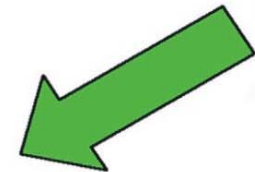
## Hazards Indicators



## Fuzzy Expert System



## Potential for Hazards (PH)

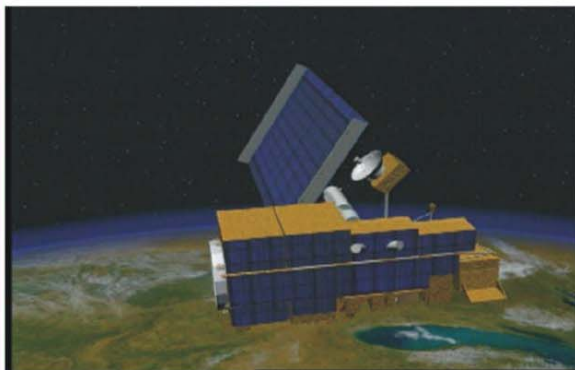


## Fuzzy Expert Explanation



## Planning Observations

## Satellite Image Acquisition



## Human-Fuzzy Expert Interface PH Analysis



# Fuzzy logic risk assessment

## Multi-Criteria Analysis



## Hazard

Potential For Flooding (PF)



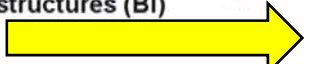
Potential For Rock-Ice- Debris Avalanches (PRDIA)



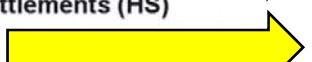
Geomorphologic Potential for Hazards (GPH)



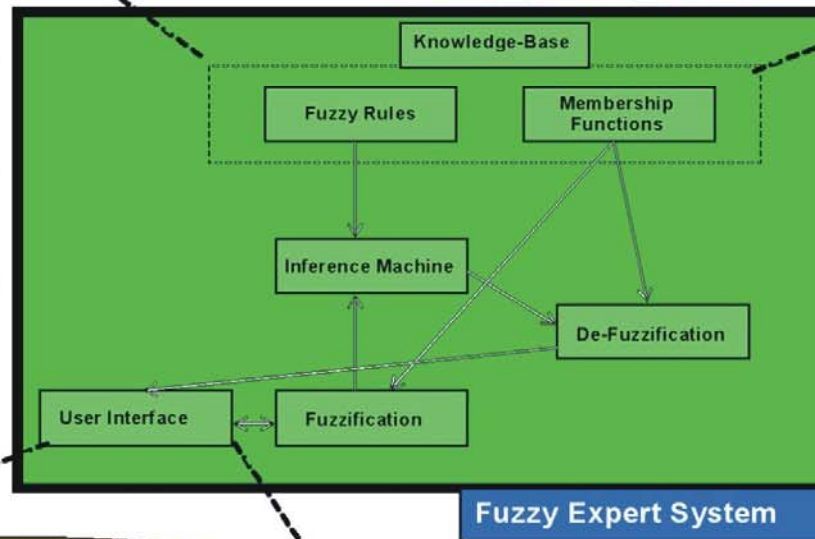
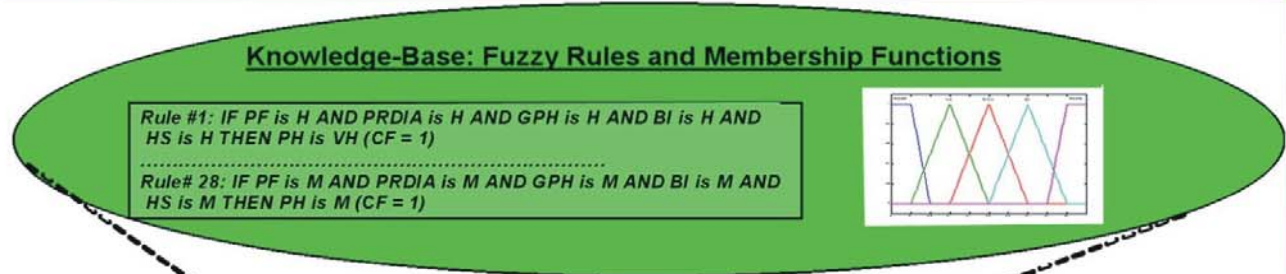
Built Infrastructures (BI)



Human Settlements (HS)



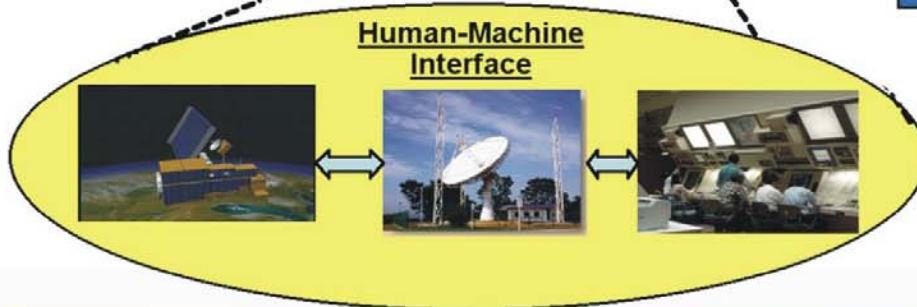
## Vulnerability



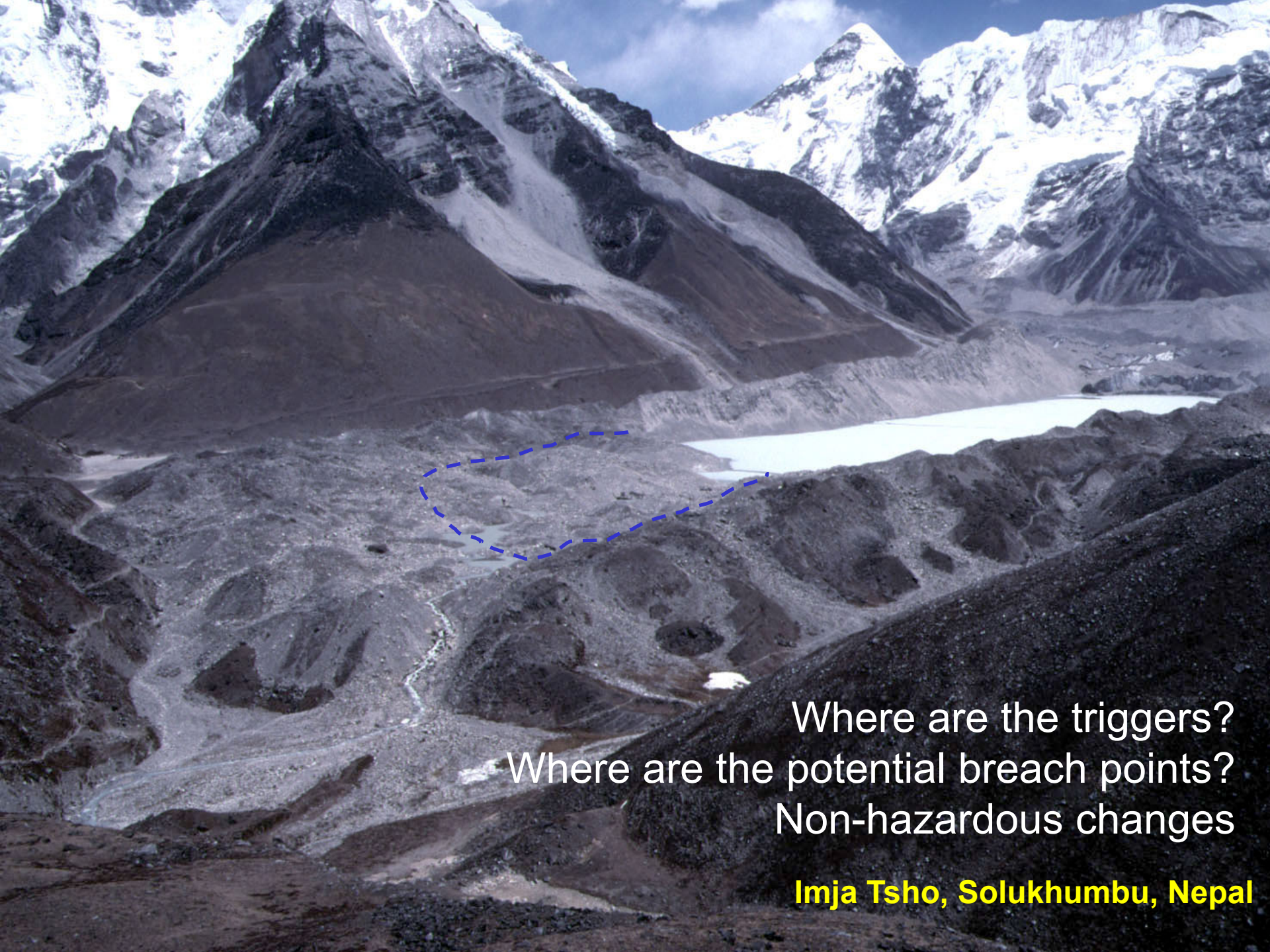
Potential For Hazards (PH)



Estimation of **RISK**







Where are the triggers?  
Where are the potential breach points?  
Non-hazardous changes

**Imja Tsho, Solukhumbu, Nepal**



# Guidelines for glacial hazards & risks

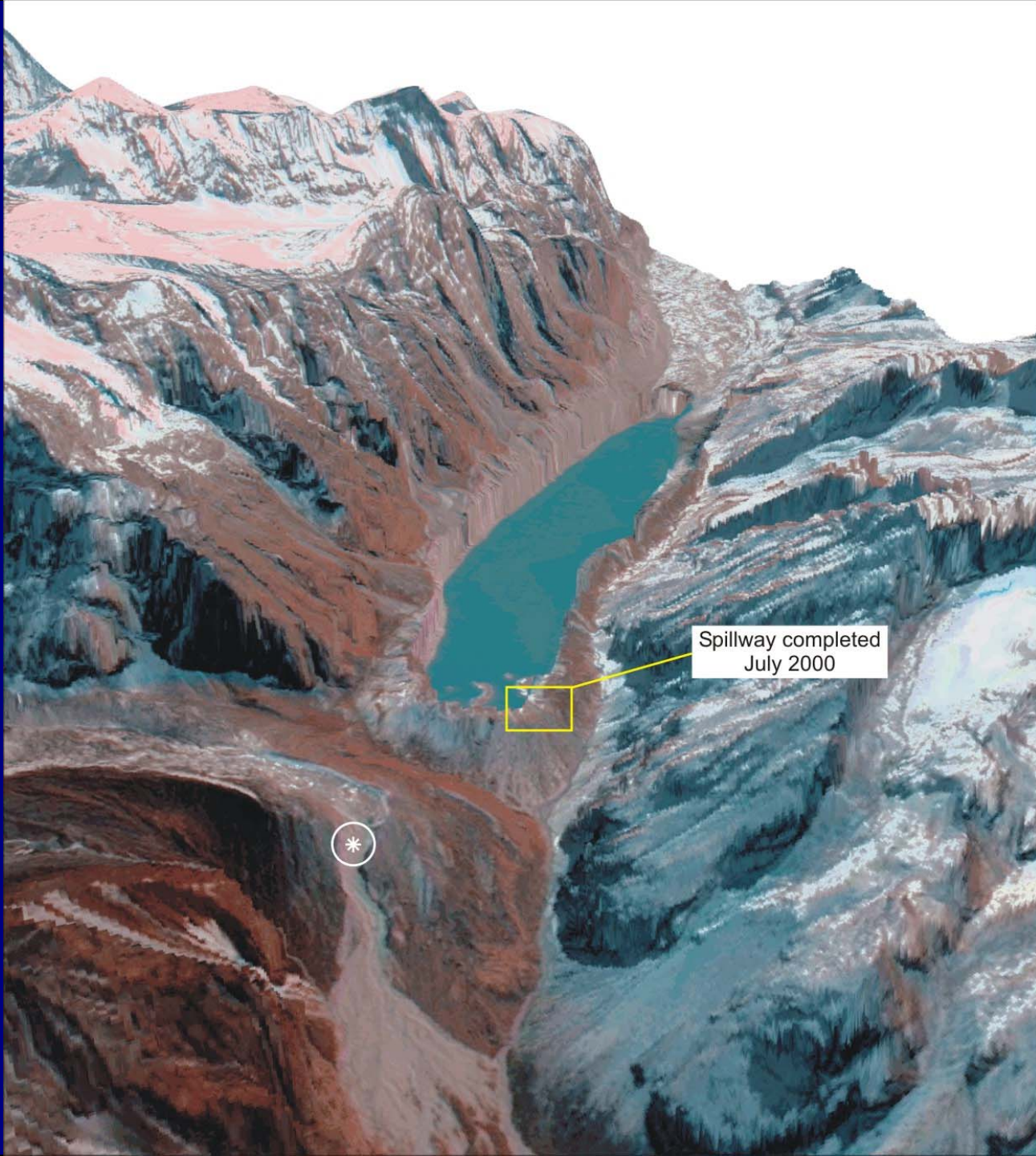
- Integrated guidelines for holistic glacial hazard assessment and risk management
  - *Site investigation techniques*
  - *Hazard assessment, vulnerability assessment*
  - *Introducing objectivity into assessments*
  - *Risk reduction techniques*
- Concentrates on glacial lakes, but with broader application to other hazard types
- Technical sections for use by practitioners
  - *Geophysics*
  - *Geotechnics*
- Strategic risk management aspects for decision makers
- Available on line at:                      Or see me for a copy.  
[www.geologyuk.com/mountain\\_hazards\\_group/dfid.htm](http://www.geologyuk.com/mountain_hazards_group/dfid.htm)

# Changing hazards as a consequence of climate change

- Down wasting and receding glaciers
- Changes in melt water run-off – quantities and timing
- Changes in precipitation – quantities and timing
- Thawing permafrost
- Rise in boundary between cold-based and temperate ice regimes
- Increased lake temperatures and more rapid ablation of glacier cliffs that terminate in lakes
- Others??

*How do we measure these and with what precision?*

*What are the gaps in our baseline data?*





# Increased ice avalanche activity?

Sublimation – dry, cold-based?



Melting & sublimation – water generation

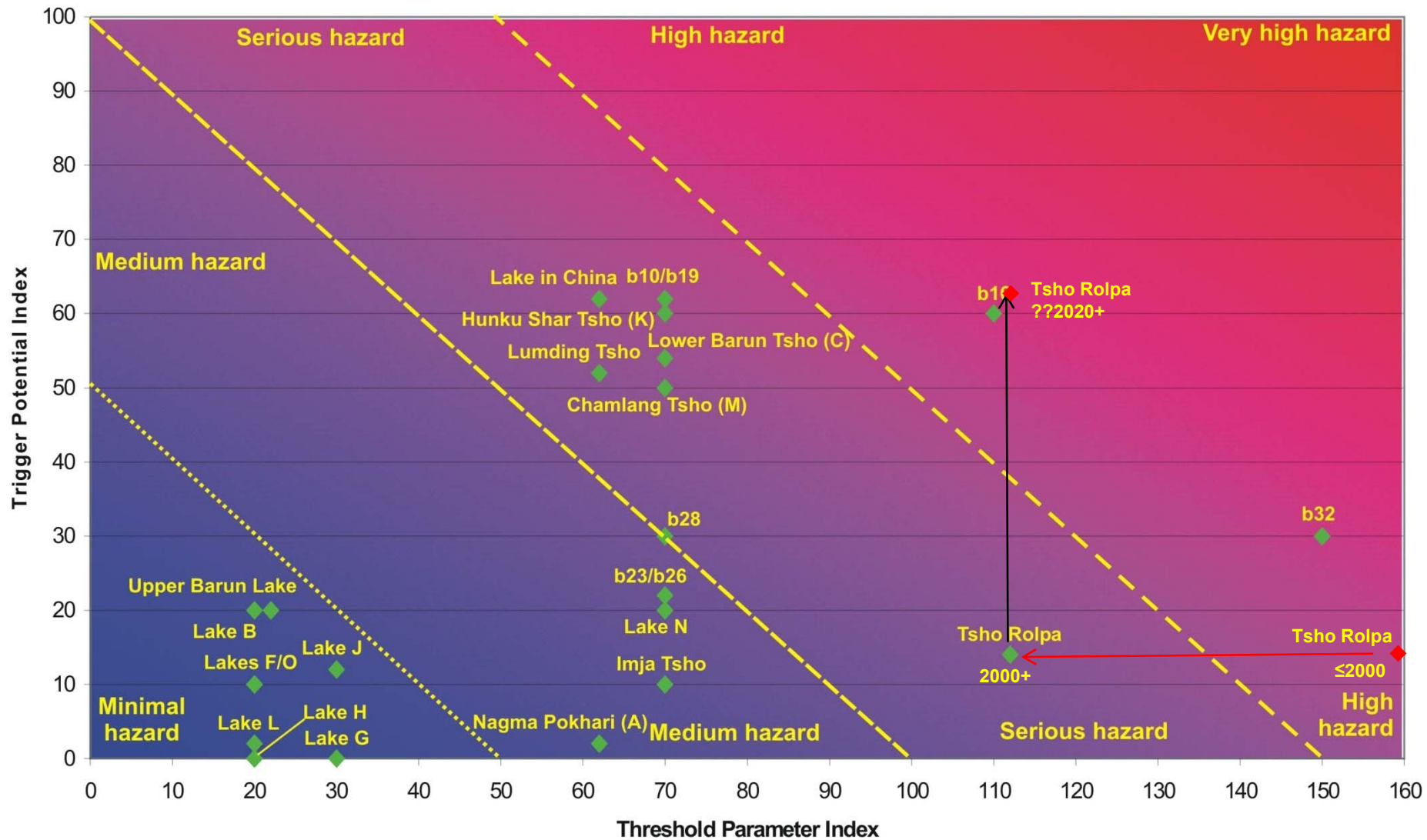


# Climate-induced change in ice loss from sublimation to sliding?



Change in nature of the local trigger mechanism to  
impact on the local glacial lake.

## Multi-Criteria Analysis for northeast Nepal



Change in degree of hazard with climate change????

(RGSL, 2007)



**Safuna, Cordillera Blanca, Peru**

De-buttressing & rock avalanche  
Ice avalanches





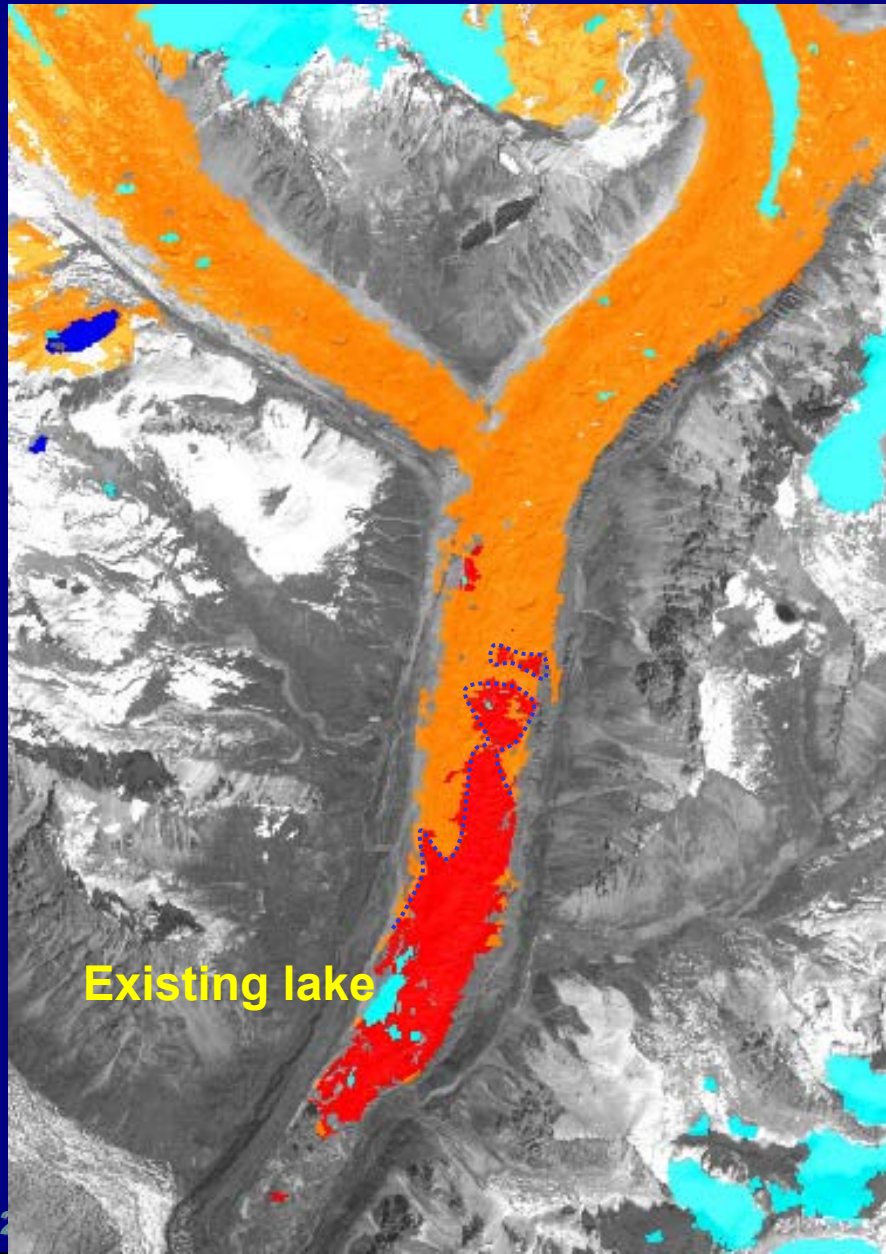
# Potential buoyancy of submerged ice?



Tso Rolpa, Rolwaling, Nepal



# Mapping existing lakes at risk and predicting where lakes might form



## Defining areas of potential lake formation following the 2 Rule:

- Red  $< 2$  - new lake
- Orange 2-6 - ponds (transient)
- Cyan  $> 6$  - no ponds

*Repeat assessment after a gap of several years will reveal changes in actual and potential lake areas and indicate the rates of glacier surface deflation and increases in potential lake areas.*

Reynolds (2000)

# Further questions for breakout groups ...

## Consider the following:

- How will your geohazard system alter with climate change/time?
- How will you measure those changes and rates of change?
- What techniques will you use – remote sensing, ground-based, *etc.*?
- What resolution do you need to give you the necessary level of confidence in defining the potentially hazardous process?
- Will any parameters change their relative importance within your system?
- Having defined your geohazard and its degree of seriousness, how will you disseminate this information and to whom?
- What gaps are there in the baseline data to determine any of the above?
- What processes do we not yet understand sufficiently?
- How do we incorporate monitoring and Early Warning Systems?
- Within what management framework should these systems exist and who takes the responsibility for them?
- How do you raise awareness of the geohazard without causing alarm?
- How do these hazard estimates fit into warning evaluation schemes such as those using established Criteria-Development Matrix methods?
- And, ...????



*Glacial hazards – her future?*

**Thank you  
for your attention**

Reynolds International Ltd, UK