

# Trends in Biotechnology

## Engineering the Plant Secretory Pathway for the Production of Next-Generation Pharmaceuticals --Manuscript Draft--

<b>Manuscript Number:</b>	TIBTECH-D-20-00008R1
<b>Article Type:</b>	Review
<b>Keywords:</b>	Biologics, folding, glycosylation, cleavage, chaperones, proteases
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<b>Abstract:</b>	<p>Production of biologics in plants, or plant molecular pharming, is a promising protein expression technology that is receiving increasing attention from the pharmaceutical industry. In the past, low expression yields of recombinant proteins and the realization that certain post-translational modifications may not occur optimally limited the widespread acceptance of the technology. However, molecular engineering of the plant secretory pathway is now enabling the production of increasingly complex biomolecules using tailored protein-specific approaches to ensure their maturation. These involve the elimination of undesired processing events, and the introduction of heterologous biosynthetic machinery to support the production of specific target proteins. Here we discuss recent advances for the production of pharmaceutical proteins in plants, which leverage the unique advantages of the technology.</p>

- Plants are an alternative pharmaceutical manufacturing platform with unique advantages compared to conventional technologies.
- Engineering the secretory pathway in plants enables the production of biologics that would otherwise accumulate at low levels or in an improperly processed form.
- Host proteases can be inactivated by co-expressing broad-spectrum protease inhibitors or by manipulating the pH along the secretory pathway.
- Glycoengineering strategies enable the production of human-like glycoforms that can be tailored to improve their biological activity.
- Introducing heterologous chaperone machinery improves the production of target proteins where the endogenous machinery does not efficiently mediate folding.
- Furin processing and tyrosine sulfation can be achieved *in planta* by introducing the required biosynthetic machinery.

1 **Engineering the Plant Secretary Pathway for the Production of Next-Generation**  
2 **Pharmaceuticals**

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22 **Keywords**

23 Biologics, folding, glycosylation, cleavage, chaperones, proteases

24

## 25 **Abstract**

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27 expression technology that is receiving increasing attention from the pharmaceutical industry.  
28 In the past, low expression yields of recombinant proteins and the realization that certain  
29 post-translational modifications may not occur optimally limited the widespread acceptance  
30 of the technology. However, molecular engineering of the plant secretory pathway is now  
31 enabling the production of increasingly complex biomolecules using tailored protein-specific  
32 approaches to ensure their maturation. These involve the elimination of undesired processing  
33 events, and the introduction of heterologous biosynthetic machinery to support the production  
34 of specific target proteins. Here we discuss recent advances for the production of  
35 pharmaceutical proteins in plants, which leverage the unique advantages of the technology.

36

## 37 **Plant molecular pharming of pharmaceuticals**

38 Producing complex biologics in plants, or plant molecular pharming, has been proffered as a  
39 cheap protein production technology with lower infrastructure requirements compared to  
40 conventional mammalian cell expression systems, an improved safety profile and the potential  
41 for rapidly scaling up production. These features make this technology highly attractive for  
42 manufacturing in resource-limited regions and for rapid vaccine production in response to  
43 pandemic disease outbreaks, or even bioterrorism threats. However, in practice the technology  
44 has had limited impact in these areas, and instead has been largely confined to niches where  
45 the accepted paradigm of pharmaceutical production has failed to satisfy market demands [1].  
46 Retrospectively, use of this technology has been hindered by a myriad of factors including  
47 concerns regarding the safety of plant-specific glycoforms, an unclear regulatory pathway and  
48 limited capacity for scale up which have now been addressed [2].

49 The idealistic notion of cheap, oral vaccines that was once the major driving force of the  
50 technology has largely been replaced by the acceptance that plant-made pharmaceuticals  
51 (PMPs) will require some level of formulation to ensure consistency of dose and activity.  
52 Another paradigm shift is the favouring of transient expression systems such as  
53 “agroinfiltration”-mediated expression (see Glossary) over the use of transgenic plants, which  
54 was the major driving force of the technology during its infancy [3]. This is largely due to the  
55 speed and convenience of transient expression systems, which allow large-scale production in

56 weeks rather than years, and product yield is also generally better via transient expression [4].  
57 Given the lack of a clear regulatory pathway for PMPs, it is unsurprising that the first US Food  
58 and Drug Administration (FDA)-approval for a plant product was for a veterinary vaccine  
59 against Newcastle disease virus in 2006, produced in transgenic tobacco suspension cells [3].  
60 It was only in 2012 that the first PMP was approved for human use; this was a recombinant  
61 human enzyme (glucocerebrosidase) for treatment of Gaucher's disease that was produced in  
62 carrot cell suspension cultures [5]. More recently, there has been an increasing acceptance of  
63 *Nicotiana benthamiana* as the preferred expression host due to its ease of transformation, the  
64 development of versatile expression vectors for transient expression, rapid generation of  
65 biomass and a defective posttranscriptional gene silencing system [6].

66 Subsequently, many other PMPs have been entered into clinical testing, including antibodies  
67 for the therapeutic treatment of cancer and infectious diseases, recombinant enzymes for use  
68 as replacement therapies, and vaccines for both seasonal and pandemic influenza. The  
69 production of influenza vaccines is an especially promising application for plant molecular  
70 pharming due to the slow production time and limited manufacturing capacity for traditional  
71 egg-derived influenza vaccines [7]. The pioneering work of Medicigo Inc. in this area has  
72 demonstrated the almost unprecedented capacity of the platform for rapid manufacturing and  
73 production scale-up, by producing 10 million doses of a candidate H1N1 vaccine within a  
74 month of receiving the sequence information [8, 9]. Most importantly, the vaccines – composed  
75 of heavily glycosylated viral hemagglutinin – were reported to be safe even in individuals with  
76 pre-existing allergies to plant-specific glycoepitopes [10]. Following these promising results,  
77 it was announced that Health Canada had accepted a New Drug Submission for a quadrivalent  
78 influenza vaccine from Medicigo Inc, and that it was anticipated that the vaccine would be  
79 commercially available before the start of the 2020 flu season in Canada. This represents an  
80 unprecedented success in the field, and yet it still received considerably less attention than the  
81 emergency authorization of a cocktail of plant-produced monoclonal antibodies to treat Ebola  
82 virus disease. Although this treatment did not meet the threshold for efficacy, it highlighted the  
83 potential of PMPs on a global stage for the first time [11].

84 Several good manufacturing practice (GMP)-compliant factories have also now been  
85 established for the production of PMPs, including contract manufacturing organizations. This  
86 is a major advance given that much of the pioneering work in the field was conducted in  
87 academia and the lack of suitable manufacturing facilities impeded the clinical testing of these  
88 products. The development of improved expression technology has also resulted in drastic

89 improvements in the yields of many recombinant proteins, enabling the clinical testing of  
90 pharmaceutical products which otherwise would not be feasible for further development. A  
91 major advance in this regard is the exploitation of *A. tumefaciens* to deliver virus-derived  
92 expression vectors for recombinant protein expression in plants [12]. These “deconstructed”  
93 viral expression vectors support the carefully regulated co-expression of multiple proteins—by  
94 varying the bacterial inoculum used for infiltration—and rapid production scale up that is a  
95 major selling point for molecular farming [13].

96 Over the last decade, however, it has become apparent that low accumulation or inadequate  
97 biological activity of some proteins produced in plants may be related to the inefficiency of  
98 specific processing events during their synthesis. This observation has resulted in another  
99 paradigm shift: this time instead of empirical optimization of expression parameters,  
100 researchers are focussing on developing new host engineering approaches to facilitate the  
101 production of specific target proteins. The low accumulation of certain proteins *in planta* due  
102 to differences along the secretory pathway, compared to their usual expression hosts, is an  
103 important contributory factor in the reluctance of mainstream “pharma” companies to adopt  
104 molecular pharming technology [2]. Accordingly, this review will discuss the potential for  
105 engineering the processing pathways to alleviate these bottlenecks and improve the production  
106 of complex pharmaceuticals.

### 107 **Preventing proteolysis of heterologous proteins along the secretory pathway**

108 The extensive plant protease repertoire poses a challenge for the recovery of high yields of  
109 recombinant proteins that are susceptible to proteolysis. The *N. benthamiana* proteome  
110 contains 1243 putative proteases, although it is acknowledged that not all of these will impact  
111 molecular pharming as many are organelle-specific and do not have activity in leaves [14,  
112 15]. Papain-like Cys proteases, subtilases, and pepsin-like Asp proteases are believed to be  
113 the main proteases that are responsible for proteolysis of recombinant proteins in plants, as  
114 they are abundant in plant leaves and display broad substrate recognition [14]. The impact of  
115 host proteases has been well documented for monoclonal antibodies which often display clear  
116 evidence of proteolysis in plants, but they are also assumed to contribute to low yields  
117 reported for other recombinant proteins [16, 17]. A recent investigation into the *N.*  
118 *benthamiana* response to agroinfiltration suggests that an increase in proteolysis may actually  
119 occur as part of the host immune response to *A. tumefaciens* [15]. Several strategies have  
120 been proposed to limit proteolytic degradation *in planta*: these include targeting recombinant

121 proteins to organelles where they are sequestered from host proteases, engineering of the  
122 protein sequence to remove susceptible sites, targeted knockdown of specific host proteases  
123 and the co-expression of broad-spectrum protease inhibitors (PIs). The latter approach is the  
124 fastest and may be the most feasible as it enables the temporal depletion of target proteases  
125 without compromising the development of the host, and could be applied to the production of  
126 diverse classes of recombinant proteins.

127 This strategy yielded limited success until recently; however, 3 new PIs (NbPR4 and NbPot1  
128 from *N. benthamiana* and human HsTIMP) were reported to dramatically increase the  
129 accumulation of  $\alpha$ -galactosidase, erythropoietin and the broadly neutralizing HIV antibody  
130 VRC01 in *N. benthamiana*. Interestingly, despite targeting unrelated classes of proteases, no  
131 synergistic effect was observed when all 3 PIs were co-expressed. More importantly, these  
132 new PIs had a far greater impact than any of the PIs tested previously, and although the effect  
133 was very much protein-dependent, the authors observed as much as 27-fold improvement in  
134 protein yield under the conditions tested [18].

135 Perhaps unsurprisingly, proteolytic activity along the secretory pathway is pH-dependent,  
136 suggesting that modifying the pH could be used to mitigate proteolytic degradation [19]. The  
137 expression of the influenza virus M2 proton channel protein in plants enables a localized pH  
138 increase in the Golgi apparatus, which has been reported to improve the accumulation of acid-  
139 labile proteins, including certain influenza virus hemagglutinins [19, 20]. It has not yet been  
140 established if this has an impact on protein glycosylation or other processing events in the  
141 Golgi, which are enzyme-mediated processes and therefore influenced by the pH. The potential  
142 synergy of this approach with PI co-expression has been also proposed, but is not yet reported  
143 in the literature [19].

#### 144 **Production of human-like glycosylation *in planta***

145 The majority of PMPs - including antibodies, hormones and many vaccine antigens - are  
146 glycosylated, and the attached glycans play diverse roles. N-glycosylation, a major type of  
147 protein glycosylation, links glycans to asparagine residues [21]. The N-glycans influence  
148 processes such as protein folding, quality control, protein trafficking and the interaction with  
149 other proteins. For example, specific types of human IgG1 N-glycans impact the binding to  
150 different Fc $\gamma$  receptors and thus modulate the immune response [22]. While the first steps of  
151 N-glycosylation and N-glycan processing in the ER are in principle conserved between  
152 mammals and plants, N-glycan processing steps differ considerably in the Golgi apparatus,

153 which is the cellular site for complex N-glycan formation. Compared to humans, the structural  
154 diversity of N-glycans in plants is drastically reduced, which is related to the fact that they have  
155 fewer glycosyltransferases that elongate complex N-glycans. Nonetheless, plants produce  
156 some non-human N-glycans that may have adverse effects on recombinant biopharmaceuticals,  
157 and limit the broad use of plants as an alternative to mammalian cell lines for pharmaceutical  
158 production. Plant-produced recombinant glycoproteins carry  $\alpha$ 1,3-fucose and  $\beta$ 1,2-xylose  
159 residues attached to the common core N-glycan (GnGnXF - **Figure 1A**). These two sugar  
160 residues are not found on human glycoproteins and are therefore potentially immunogenic.  
161 Consequently, the initial glyco-engineering approaches in plants focused on the elimination of  
162 these plant-specific N-glycan modifications [23], which recently resulted in CRISPR-Cas9  
163 engineered *N. benthamiana* completely devoid of  $\alpha$ 1,3-fucose and  $\beta$ 1,2-xylose residues (GnGn  
164 - **Figure 1B**) [24]. In addition, plants can elongate the core N-glycan on specific proteins with  
165  $\beta$ 1,3-galactose and  $\alpha$ 1,4-fucose, giving rise to the Lewis A epitope (**Figure 1A**). Although these  
166 structures are found in human tissues, for example in glycosphingolipids, a negative impact of  
167 Lewis A structures on the efficacy, stability or antigenicity of recombinant glycoproteins  
168 cannot be excluded. For example, when present on recombinant glycoproteins, Lewis A-  
169 containing N-glycans could interact with carbohydrate binding receptors resulting in enhanced  
170 turnover [25]. It is therefore desirable to eliminate these modifications in order to prevent  
171 unwanted cellular processes, simplify the N-glycan processing pathway and prevent competing  
172 enzymatic reactions. Knockout of the responsible  $\beta$ 1,3-galactosyltransferase and the  $\alpha$ 1,4-  
173 fucosyltransferase in *Physcomitrella patens* resulted in the production of erythropoietin lacking  
174 the Lewis A epitope [26]. Another limitation of plants is the occurrence of truncated N-glycans  
175 (paucimannosidic) that are generated by the removal of terminal GlcNAc residues from the  
176 core N-glycan (MMXF - **Figure 1A**). Transient knockdown of a  $\beta$ -hexosaminidase  
177 considerably increased the amounts of complex N-glycans on human  $\alpha$ 1-antitrypsin produced  
178 in *N. benthamiana* [27]. Combining these three approaches for the elimination of unwanted  
179 enzymes acting on complex N-glycans will result in a plant-based platform for the production  
180 of different recombinant glycoproteins with homogenous complex N-glycans.

181

182 To produce complex N-glycans that carry modifications absent in plants, glycosyltransferases  
183 and whole pathways for the biosynthesis, transport and transfer of missing nucleotide-sugars  
184 have to be introduced. Plants lack common mammalian-type modifications including the  
185 formation of additional antennae e.g. tri- or tetra-antennary N-glycans – (bisected and  
186 branched),  $\beta$ 1,4-linked galactose (galactosylated) and capping with sialic acid (N-

187 acetylneuraminic acid – sialylated) residues (**Figure 1B**). Transient or stable expression of the  
188 corresponding enzymes and pathways in *N. benthamiana* resulted in the production of  
189 numerous glycoproteins carrying human-type N-glycans with sialic acid [28-30]. Importantly,  
190 these tailored proteins are functional and display similar characteristics to mammalian cell  
191 culture-derived variants. Moreover, the coordinated expression of the mammalian sialic acid  
192 pathway and human polysialyltransferases resulted in the formation of polysialic acid-  
193 containing glycoproteins [21]. These types of glycans are currently the most elaborate human-  
194 type structures produced in plants.

195

196 As outlined above, remarkable progress has been made in humanization of plant N-glycans in  
197 plants. In contrast, until recently, differences in N-glycosylation occupancy have been widely  
198 neglected in glyco-engineering approaches. While the single N-glycan site present in the  
199 human IgG Fc region is almost 100% glycosylated when expressed in mammalian cells, when  
200 produced in plants more than 10% of the recombinant protein is underglycosylated at this site  
201 [23]. This phenomenon is dependent on the expression host and the extent of sequon occupancy  
202 has been observed to differ between different plant species as has been described for the anti-  
203 HIV antibody 2G12 [31-33]. These differences in N-glycosylation efficiency are caused by yet  
204 unknown features of the plant oligosaccharyltransferase (OST) complex that catalyses the  
205 initial step of protein N-glycosylation, the transfer of the preassembled oligosaccharide to  
206 asparagine residues present in the consensus sequence Asn-X-Ser/Thr (X any amino acid  
207 except proline). The plant OST complex consists of multiple subunits whose precise  
208 composition and individual function is currently poorly understood [34, 35]. Transient  
209 expression of a single-subunit oligosaccharyltransferase from *Leishmania major* increased the  
210 N-glycan occupancy on different recombinant glycoproteins produced in *N. benthamiana* [23].  
211 However, the *L. major* OST did not improve the occupancy on all tested sites, and there are  
212 indications that its expression can interfere with other processes in the secretory pathway,  
213 resulting in reduced overall yield of the produced protein. Consequently, the expression of the  
214 enzyme needs to be better controlled; other single-subunit OSTs should also be explored for  
215 their activity in plants, and efforts should be undertaken to understand the current limitation of  
216 the plant OST complex.

217

218 In contrast to engineering of N-glycans, comparatively little attention has been paid to the  
219 generation of tailored O-glycans. Linkage of O-glycans to Ser/Thr residues is the second major  
220 type of protein glycosylation in the secretory pathway. O-glycans are present on

221 biopharmaceuticals like etanercept [36] and on viral envelope glycoproteins that are potential  
222 vaccine candidates [37]. In humans, the most common type of O-glycosylation is initiated by  
223 the transfer of a single N-acetylgalactosamine (GalNAc) residue catalysed by a family of 20  
224 N-acetylgalactosaminyltransferases. Plants are attractive hosts for the engineering of this type  
225 of O-glycosylation as they completely lack N-acetylgalactosaminyltransferases and  
226 glycosyltransferases for elongation and branching of O-glycans. Hence, mammalian-type O-  
227 glycans can be generated *de novo* without the interference from competing endogenous  
228 glycosyltransferases [38]. However, proline residues next to O-glycosylation sites on  
229 mammalian proteins like IgA1 are frequently converted to hydroxyproline residues that can be  
230 further extended with pentoses (**Figure 1A**) [39]. These protein modifications increase the  
231 heterogeneity of plant-produced proteins, impede a detailed site-specific analysis of engineered  
232 O-glycans, and may have adverse properties that affect the functionality or immunogenicity of  
233 PMPs. A single prolyl-4-hydroxylase responsible for the conversion to hydroxyproline has  
234 been eliminated from *P. patens*, and erythropoietin produced in the knockout plant completely  
235 lacked the modification [40]. In *N. benthamiana* this engineering approach is more challenging  
236 as the number and function of the involved prolyl-4-hydroxylases are currently unknown. The  
237 recently completed sequencing of the glyco-engineered *N. benthamiana*  $\Delta$ XT/FT line now  
238 allows for thorough mining of the *N. benthamiana* genome and transcriptome for candidates to  
239 set up genome editing approaches for their elimination [41], in order to ultimately combine N-  
240 and O-glycan engineered platforms.

#### 241 **Addressing folding constraints along the secretory pathway**

242 The folding of proteins is carefully regulated in the ER to ensure that only properly folded  
243 proteins progress through the secretory pathway. This process is mediated by chaperones,  
244 both to assist with protein folding, and to impose quality control on the nascent proteins [42].  
245 The two main chaperone folding pathways are mediated by BiP and calnexin/calreticulin  
246 (CNX/CRT), although the systems are not mutually exclusive [43, 44]. In the case of  
247 glycoproteins, chaperone-mediated folding is co-ordinated by the processing of host-derived  
248 glycans which control entry and exit from the CNX/CRT folding pathway [45, 46]. Although  
249 the plant chaperone machinery is less well characterized than in other eukaryotes, CNX, CRT  
250 and BiP exist in several forms [42].

251 Given that glycans co-ordinate protein interactions with ER-resident chaperones, the  
252 observation that some proteins may be underglycosylated in plants has important implications

253 for protein folding in the system [23]. It is conceivable that underglycosylation could  
254 compromise targeting into the CNX/CRT folding pathway and could contribute to the  
255 inefficient production of certain proteins in plants. To illustrate this, the removal of a single  
256 glycan sequon from cholera toxin B was reported to result in severe leaf necrosis and the  
257 upregulation of representative ER-stress markers when the mutant protein was expressed in  
258 *N. benthamiana* [47]. This pathology following recombinant protein expression in plants is  
259 indicative of ER stress arising from the accumulation of misfolded proteins.

260 Recently it has also been suggested that the inefficient production of certain viral  
261 glycoproteins in plants may be related to differences in the endogenous chaperone machinery  
262 compared to the native host where these proteins are usually expressed, although other  
263 processing events are also required for their maturation (Box 1) [48, 49]. In order to address  
264 this a suite of human chaperones were recently co-expressed with several prototype viral  
265 glycoproteins in *Nicotiana benthamiana*. The co-expression of CRT resulted in a dramatic  
266 improvement in the accumulation of many of these glycoproteins and enabled the production  
267 of antigens which could not previously be produced at detectable levels in plants. The  
268 observation that CRT co-expression relieved the host ER-stress response following HIV Env  
269 gp140 expression further suggests that inefficient folding of the protein is related to the  
270 endogenous plant chaperones [50]. Similarly, the co-expression of the type 3 secretion  
271 chaperone CesT from *Escherichia coli* was reported to improve the expression of the  
272 bacterial receptor protein Tir in *N. benthamiana* where the chaperone does not occur naturally  
273 [51]. This approach may also be useful for the assembly of virus-like particles in plants where  
274 this is a chaperone-mediated process, although this has yet to be explored.

### 275 **Targeted maturation of heterologous proteins along the secretory pathway**

276 Many proteins that are of interest as pharmaceutical targets require additional post  
277 translational modifications (PTMs) for their biological activity, some of which may be absent  
278 or occur inefficiently in plant cells [52, 53]. Cleavage by furin proteases is a common PTM  
279 required for functioning of many enzymes, growth factors and hormones, and for the  
280 maturation of viral fusion glycoproteins [54, 55]. These maturation requirements are an  
281 important consideration for the production of viral glycoprotein-based vaccines which may  
282 be aberrantly folded, or where the glycoprotein may not be properly incorporated into the  
283 viral particle, in the absence of proper processing [56, 57].

284 Until recently, furin processing of heterologous proteins in plants had not been documented  
285 due to the absence of the enzyme in the secretory pathway [58]. Even the prototypic plant  
286 produced viral glycoprotein, influenza virus HA, has been reported to be predominantly  
287 unprocessed when transiently expressed in *N. benthamiana* [59]. Lately, several studies have  
288 reported the ectopic expression of the protease to achieve maturation of target proteins. This  
289 approach has enabled the production of appropriately processed transforming growth factor  
290 beta (TGF- $\beta$ ) isoform 1, *Bacillus anthracis* protective antigen 83 (PA83), blood clotting  
291 factor IX, and more recently a cleaved HIV Env “SOSIP”-type glycoprotein trimer [58, 60].  
292 Interestingly, a soluble version of furin was reported to enable more efficient processing of  
293 P83A *in planta* than the full length protein, presumably due to the improved accumulation of  
294 the protease [60]. We have achieved efficient processing of an HIV Env glycoprotein in *N.*  
295 *benthamiana* by replacing the native cleavage motif with a hexa-arginine motif (RRRRRR)  
296 which is more efficiently recognized. This was the first successful production of a furin-  
297 cleaved viral glycoprotein in a plant system. In addition to furin, other proteases may also be  
298 involved in the proteolytic processing of viral glycoproteins, such as the subtilisin kexin  
299 isozyme-1/site-1 protease (SKI-1/S1P) in the case of arenaviruses, or endosomal cathepsins  
300 for paramyxovirus glycoproteins [57, 61-63]. It is presently unclear if these enzymes are  
301 present in plants, and if they are, whether the endogenous levels are sufficient to  
302 accommodate processing of an overexpressed glycoprotein. A consideration for the  
303 production of proteolytically processed viral glycoproteins is whether this approach would be  
304 compatible with the co-expression of broad spectrum PIs to improve accumulation *in planta*.

305 Another PTM that does not occur naturally in plants is tyrosine sulfation [53]. Tyrosine  
306 sulfation is a common post-translational modification in secreted mammalian cell proteins  
307 and is often observed in broadly neutralizing antibodies targeting the HIV Envelope  
308 glycoprotein [64-67]. In the case of the broadly neutralizing anti-HIV antibody PG9, for  
309 example, the co-expression of human tyrosylprotein sulfotransferase in plants was required to  
310 achieve optimal neutralizing activity as the endogenous plant homologue does not mediate  
311 this function efficiently [68]. While this is the only reported example of engineered tyrosine  
312 sulfation in a plant-based expression system at this time, the approach is likely to become  
313 increasingly important to enable the production of other anti-HIV antibodies with therapeutic  
314 potential.

315 Similarly,  $\gamma$ -carboxylation has not been reported for plant-produced proteins, and homologues  
316 of human  $\gamma$ -carboxylase are not present in *Arabidopsis thaliana* [53].  $\gamma$ -carboxylation is

317 important for the activity of coagulation factors, suggesting that in order to produce authentic  
318 versions of these proteins in plants, the host cell may need to be engineered to enable  
319 appropriate maturation [53]. Recently, blood clotting factor IX was transiently expressed in  
320 *N. benthamiana* plants, but the authors did not report whether recombinant factor IX was  
321 functional [60]. Whilst theoretically,  $\gamma$ -carboxylase could be expressed *in planta*, there appear  
322 to be additional co-factors required for this PTM as undercarboxylation is known to occur in  
323 mammalian cells - even when the enzyme is overexpressed [53].

## 324 **Concluding remarks and future perspectives**

325 New approaches to engineer the plant expression host now enable the production of novel  
326 pharmaceuticals that could not otherwise be produced at sufficient yields in plants, or which  
327 would not be appropriately processed (**Table 1**). This is enabling the production of  
328 increasingly complex biologics and the development of many broadly applicable engineering  
329 approaches. Critical focus areas going forwards include developing strategies to improve  
330 glycan occupancy of PMPs, and to produce “human-like” O-glycan structures and chaperone  
331 co-expression to overcome folding constraints (see Outstanding Questions). To date, the  
332 glycan occupancy and complexity has only been reported for a limited number of plant-  
333 produced proteins. Future work should look to expand the range of glycoproteins that are  
334 analysed in this way with particular emphasis on more heavily glycosylated viral  
335 glycoproteins. These analyses should be conducted as head-to-head comparisons with the  
336 cognate mammalian-cell produced proteins as they represent the accepted industry standard  
337 and therefore provide a rational starting point for glyco-engineering approaches to improve  
338 the glycosylation of these proteins. These experiments will also provide a more holistic  
339 insight into whether underglycosylation is a global problem for molecular farming or whether  
340 this phenomenon only occurs for a subset of proteins. Future work should also investigate  
341 how broadly applicable the co-expression of human chaperones is to improve protein folding  
342 in plants. So far, approaches have focused on ER-resident chaperones to improve the  
343 production of viral glycoproteins in plants. Future work should investigate if this approach  
344 would also improve the production of other glycoproteins which traffic through the ER, or if  
345 the co-expression of cytosolic chaperones would have similar benefits. The flexibility of the  
346 transient expression approach enables multiple engineering approaches to be combined for  
347 the tailor-made production of specific proteins (**Figure 2**). Whilst this enables high  
348 throughput optimization, an important consideration going forward into clinical testing is the  
349 capability of co-expressing multiple heterologous proteins in a single cell, for example where

350 an entire biosynthetic pathway may need to be introduced to accommodate the production of  
351 a particular protein. While this is feasible, a more realistic and reliable approach may involve  
352 a combination of transient and transgenic approaches, such as those described to achieve  
353 sialylation, or the development of specialized expression vectors for targeted applications  
354 [21].

355 While these engineering approaches all represent incremental advances in the field,  
356 considerable capital investment is still required to establish manufacturing capacity in low  
357 and middle income countries to fulfil the long unrealized promise of the technology in these  
358 regions [69]. We hope that the recent developments in the field will be sufficient to achieve a  
359 critical mass for “big pharma” to adopt plant molecular pharming on a global scale, rather  
360 than merely for niche applications. At this juncture we feel that the outlook is promising, and  
361 that the field is poised on the cusp of realization.

362

### 363 **Acknowledgments**

364 This work was supported in part by the Austrian Science Fund, FWF Project P31920-B32, at  
365 the University of Natural Resources and Life Sciences. Support at the University of Cape  
366 Town was provided by the South African Medical Research Council, the South African  
367 Research Chairs Initiative of the Department of Science and Technology and the Wellcome  
368 Trust Centre for Infectious Diseases Research in Africa.

369

370 **Figure 1: N and O-linked glycoforms produced in A) wildtype and B) glyco-engineered**  
371 **plants.** The predominant N-glycan species in plants comprises of complex glycans containing  
372  $\beta$ 1,2-xylose and  $\alpha$ 1,3-fucose residues (GnGnXF). Elongation of the core glycan gives rise to  
373 the Lewis A structure whereas processing of the terminal GlcNAc yields paucimannosidic  
374 structures (MMXF). Elimination of the enzymes responsible for imparting plant-specific  
375 glycan moieties (GnGn) has enabled the production of “human-like” glycan structures  
376 (bisected, branched) which have been further decorated with galactose and sialic acid  
377 residues. Plant O-glycan structures contain arabinose extensions of hydroxyproline which do  
378 not occur in mammals. Introduction of the appropriate biosynthetic machinery has enabled  
379 the production of mucin-type glycan structures in plants with sialic acid extensions. Sialic  
380 acid structures are depicted as N-acetylneuraminic acid (Neu5Ac).

381 **Figure 2: Engineering strategies applied along the secretory pathway enable a tailor-**  
382 **made environment to support production of a recombinant target protein.** A)  
383 Underglycosylation of recombinant proteins can be addressed by the co-expression of single  
384 subunit oligosaccharyltransferase enzymes. B) The co-expression of heterologous chaperone  
385 proteins can improve folding and production of specific proteins where the endogenous  
386 chaperone machinery differs from the native expression host of the protein. The figure  
387 depicts calreticulin associating with Erp57, a member of the protein disulphide isomerase  
388 family, to enable the chaperone to catalyse the formation of disulphide bonds. C) Ectopic  
389 expression of proteases and other enzymes can result in appropriate processing where certain  
390 post-translational modifications would not naturally occur in plants. D) The introduction of  
391 broad-spectrum protease inhibitors along the secretory pathway can mitigate proteolysis from  
392 host enzymes and improve production yields. Lastly, the glycosylation machinery can be  
393 engineered to produce human glycoforms *in planta*.

394

395 **Box 1: maturation of envelope viral glycoproteins along the secretory pathway**

396 Viral glycoproteins have complex maturation requirements which are dependent on  
397 sequential processing events that are mediated by the host biosynthetic machinery. Following  
398 translation, the nascent glycoprotein is glycosylated upon entry into the ER by the  
399 oligosaccharyltransferase complex. These host-derived glycans are recognized by the lectin  
400 chaperone machinery which co-ordinates the interaction of the protein with other chaperones  
401 and foldases to assist with protein folding. Correctly folded proteins translocate into the Golgi  
402 apparatus where they undergo proteolytic processing, by furin or other proteases, to assume  
403 their fusion-competent conformation. Further processing of the glycan structures also occurs  
404 in the Golgi apparatus to yield complex glycans. Subsequently the glycoproteins are  
405 trafficked to the plasma membrane where they associate with viral structural proteins to  
406 facilitate the budding of the virion.

407

408

409 **Glossary**

410 **Agrobacterium:** a Gram negative bacterium which hosts a bacterial tumour-inducing (Ti)  
411 plasmid. When infecting plants, a DNA segment encoding tumour morphology genes from  
412 the Ti plasmid (T-DNA) is conjugatively transferred into plant host cells and expressed  
413 causing galls on the plant. This process has been adapted to plant molecular farming  
414 enabling the transfer of a desired gene coding sequence into the nucleus of the host plant for  
415 protein expression.

416

417 **Agroinfiltration:** a method of physically forcing recombinant *Agrobacterium* cells into plant  
418 leaves. This is usually achieved under pressure using a hand syringe or by immersing leaves  
419 in *Agrobacterium* culture, drawing a vacuum and then releasing it upon which the bacteria  
420 are forced into the interstitial spaces of the leaves.

421

422 **BiP:** Binding immunoglobulin protein - an ATP-dependent ER chaperone belonging to the  
423 heat shock protein 70 (Hsp70) family.

424

425 **Glycoprotein:** A class of proteins containing host-derived sugar molecules (glycans) attached  
426 to their amino acid backbone. N-linked glycans are attached to the asparagine of the Asn-X-  
427 Ser/Thr sequon where X may be any amino acid except proline. In contrast, O-linked  
428 glycoproteins contain sugar molecules attached to Serine or Threonine residues.

429

430 **Glycosylation:** the enzymatic addition of sugar molecules (glycans) to proteins

431

432 ***Nicotiana benthamiana:*** a species of *Nicotiana* indigenous to Australia closely related to  
433 tobacco which is typically used in the laboratory as a model plant for research.

434

435 **Oligosaccharyltransferase (OST) complex:** a protein complex consisting of several  
436 subunits which resides in the endoplasmic reticulum membrane and which is central to the N-  
437 linked glycosylation pathway. It transfers a lipid-linked oligosaccharide at the ER membrane  
438 to selected asparagine (N) residues of nascent polypeptide chains on proteins as they enter the  
439 endoplasmic reticulum.

440

441 **Plant-made pharmaceuticals (PMPs):** proteins made in plants which are used for animal or  
442 human medical applications

443

444 **Plant secretory pathway:** Pathway along which newly translated proteins are trafficked  
445 through host cell organelles for their modification, processing and assembly. The pathway  
446 targets proteins into the endoplasmic reticulum through the trans-Golgi network and  
447 subsequently to the plasma membrane for extracellular secretion.

448

449 **Post transcriptional gene silencing (PTGS):** a mechanism utilised in plant cells to degrade  
450 endogenous mRNA in order to regulate gene expression.

451

452 **Protease inhibitor:** a substance that prevents proteins from being cleaved into smaller  
453 peptides which could result in their lack of function.

454

455 **Sequon:** a sequence of amino acids which serve as an attachment site for a glycan e.g. N-  
456 glycans are usually attached to the asparagine in the sequon Asn-X-Ser or Asn-X-Thr.

457

458 **Sialylation:** addition of sialic acid to the terminus of a oligosaccharide (sugar) chain in  
459 glycoproteins. Sialic acid refers to a family of derivatives of neuraminic acid, with the most  
460 common member being N-acetylneuraminic acid Neu5Ac.

Target protein(s)	Accessory protein(s)	origin	Application	Expression host	Reference
$\alpha$ -Gal, EPO, VRC01	NbPR4	<i>N. benthamiana</i>	Protease inhibition	<i>N. benthamiana</i>	[18]
$\alpha$ -Gal, EPO, VRC01	NbPot1	<i>N. benthamiana</i>	Protease inhibition	<i>N. benthamiana</i>	
$\alpha$ -Gal, EPO, VRC01	HsTIMP	<i>H. sapiens</i>	Protease inhibition	<i>N. benthamiana</i>	
HA, fusion proteins	M2 ion channel	Influenza	Increased pH	<i>N. benthamiana</i>	[20]
2G12	Cas9 – genome editing	<i>S. pyogenes</i>	Elimination of $\alpha$ 1,3-fucose and $\beta$ 1,2-xylose	<i>N. benthamiana</i>	[24]
EPO	targeted knockout	NA	Elimination of $\alpha$ 1,4-fucose and $\beta$ 1,3-galactose	<i>P. patens</i>	[26]
A1AT	RNA interference	NA	Reduced paucimannosidic N-glycans	<i>N. benthamiana</i>	[27]
2G12	Biosynthetic pathway	Mammalian	Sialic acid biosynthesis	<i>N. benthamiana</i>	[70]
PAT-SM6	Biosynthetic pathway	Mammalian	Sialic acid biosynthesis	<i>N. benthamiana</i>	[71]
EPO, A1T1, IgG, NCAM IG5FN1	Biosynthetic pathway	Mammalian	sialic acid synthesis and polysialylation	<i>N. benthamiana</i>	[21]
Variable,	Biosynthetic pathway	<i>P. aeruginosa, H. sapiens</i>	Mucin-type O-glycans	<i>N. benthamiana</i>	[72]
EPO-Fc fusion	Biosynthetic pathway	<i>H. sapiens, Y. enterocolitica, C. elegans</i>	Sialylated mucin-type O-glycans	<i>N. benthamiana</i>	[73]
EPO	targeted knockout	NA	Elimination of prolyl-4-hydroxylase	<i>P. patens</i>	[40]
Fc, IgG, IgE, IgA1, EPO-Fc, IFN- $\gamma$	LmSTT3D	<i>Leishmania major</i>	Modulation of N-glycan occupancy	<i>N. benthamiana</i>	[23]
HIV-1 Env gp140	Calreticulin	<i>H. sapiens</i>	Folding	<i>N. benthamiana</i>	[49]
RVFV Gn	Calreticulin	<i>H. sapiens</i>	Folding	<i>N. benthamiana</i>	
CHIKV E2 $\Delta$ TM	Calreticulin	<i>H. sapiens</i>	Folding	<i>N. benthamiana</i>	
EBV gp350 <sub>Ecto</sub>	Calreticulin	<i>H. sapiens</i>	Folding	<i>N. benthamiana</i>	
Bacterial Tir	CesT	<i>E. coli</i>	Folding	<i>N. benthamiana</i>	[51]

TGF- $\beta$ 1	furin	<i>H. sapiens</i>	Cleavage	<i>N. benthamiana</i>	[58]
Factor IX	furin	<i>H. sapiens</i>	Cleavage	<i>N. benthamiana</i>	[60]
<i>B. anthracis</i> PA83	furin	<i>H. sapiens</i>	Cleavage	<i>N. benthamiana</i>	
HIV Env SOSIP	furin	<i>H. sapiens</i>	Cleavage	<i>N. benthamiana</i>	[49]
PG9, RSH	tyrosylprotein sulfotransferase	<i>H. sapiens</i>	Tyrosine sulfation	<i>N. benthamiana</i>	[68]

461 **Table 1: Plant host engineering approaches applied for the production of pharmaceutical proteins.**

462  $\alpha$ -Gal,  $\alpha$ -galactosidase; EPO, erythropoietin; VRC01, anti-HIV IgG; HA, hemagglutinin; 2G12, anti-HIV IgG; PAT-SM6, anti-tumour IgM,  
463 A1AT,  $\alpha$ 1-antitrypsin; NCAM, neural cell adhesion molecule, PG9/RSH, anti-HIV IgG; IFN- $\gamma$ , interferon- $\gamma$ ; TGF- $\beta$ 1, transforming growth  
464 factor- $\beta$ 1, RVFV, Rift Valley Fever virus; CHIKV, Chikungunya virus; EBV, Epstein-Barr virus; Tir, translocated intimin receptor.

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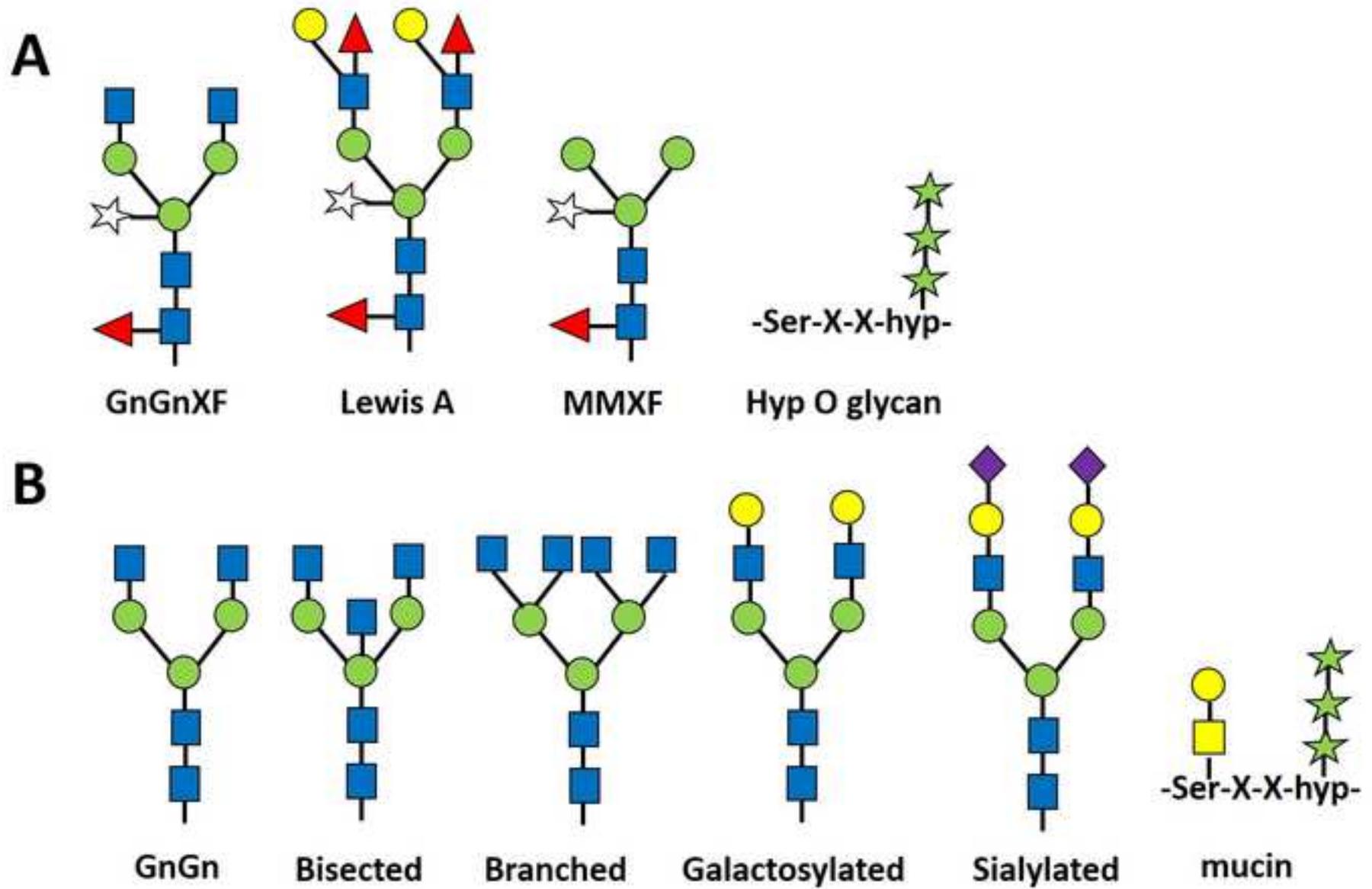
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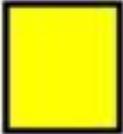
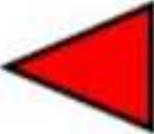
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633

- Can synergistic improvements in heterologous protein production be achieved by combining host plant engineering approaches?
- Can the host plant biosynthetic machinery be engineered to impart completely humanized glycoforms?
- Will plant homologues of mammalian proteases support the efficient processing of recombinant viral glycoproteins?
- How can product homogeneity be achieved for proteins that require the co-expression of multiple accessory factors?
- How can we eliminate multiple prolyl-4-hydroxylases with overlapping substrate specificities without affecting the growth and biomass production of *N. benthamiana*?
- Can protease inhibitors be engineered in a way that they robustly prevent the degradation of different recombinant proteins?
- Does the efficient expression of mammalian or viral proteins require the co-expression of mammalian chaperones and folding factors?



	<b>Man</b>
	<b>GalNac</b>
	<b>GlcNAc</b>
	<b>Xyl</b>
	<b>Ara</b>
	<b>Fuc</b>
	<b>Gal</b>
	<b>Neu5Ac</b>

