

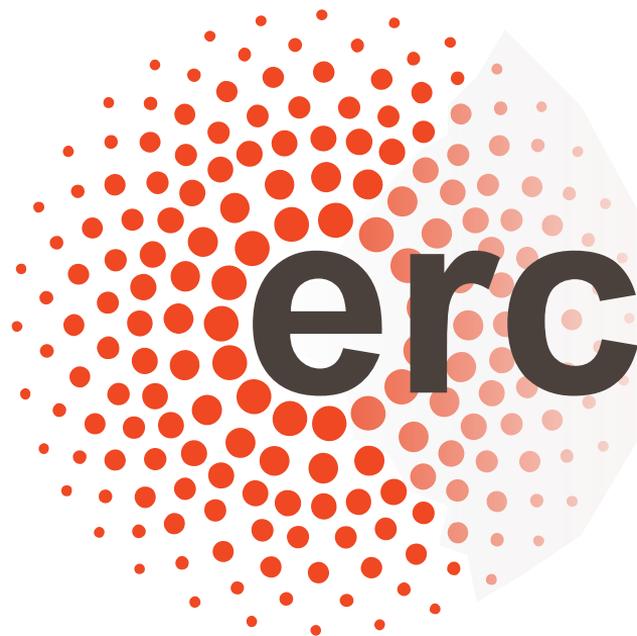
The European Research Council

David Kurz

ERC Executive Agency

Unit B2/Scientific Management

**ERC Scientific Reporting, Open Access
and Open Research Data Pilot**





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ERC Scientific Reporting

Scientific project monitoring is:

- Assessment of the projects' progress and achievements.
- A check whether the progress is consistent with the Description of Work.
- A check for signs of irregularities.

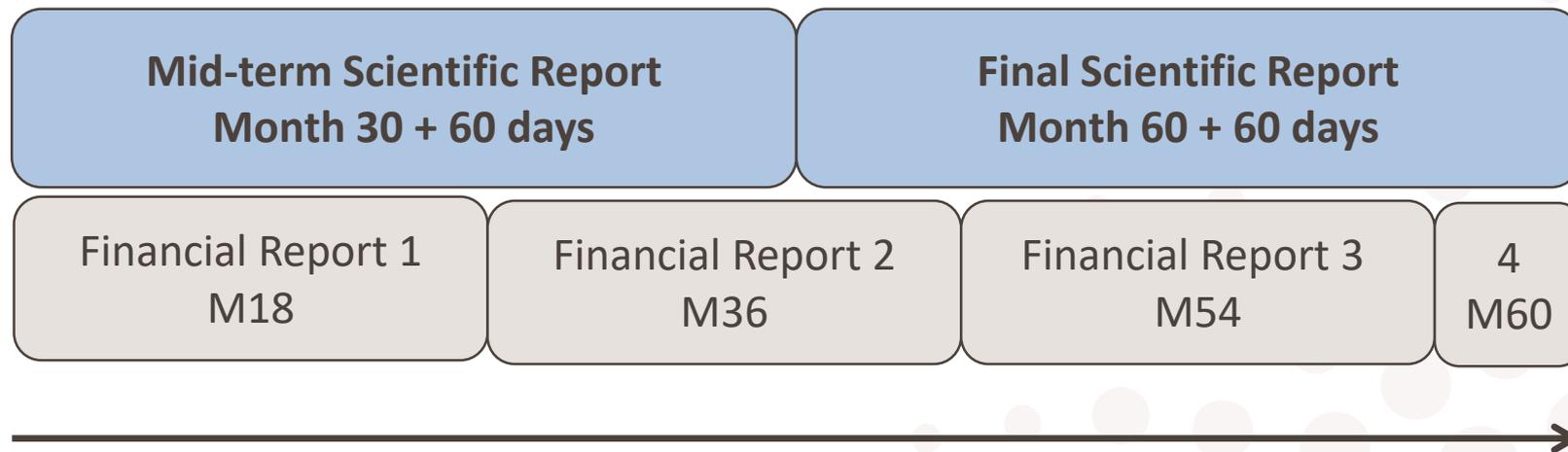
Scientific project monitoring is not:

- A peer review.
- A scientific re-evaluation of the project.
- An assessment of the scientific quality of the project outcomes.

Financial and Scientific Reporting for ERC projects



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5-year ERC projects

The reports need to be submitted within 60 days after the end of the reporting periods.

Scientific Reporting in the Participant Portal



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Participant Portal

ERC Mid-term or Final Scientific Report

Continuous Reporting

You need a "Coordinator" access profile to be able to submit reports.

RESEARCH & INNOVATION
Participant Portal - Grant Management Services

MY PROJECT
HORIZON 2020

Call: ERC-2014-STG
Type of Action: ERC-STG
Acronym: [REDACTED]

Current Phase: Grant Management

Duration: 60 months
CA Signed On: 16 Jul 2015
based on the H2020 ERC MGA
Mono - 2.0
Start Date: 01 Aug 2015
Estimated Project Cost: €1,499,850.00
Requested EU Contribution: €1,499,850.00
Contact: [REDACTED]

Scientific Reporting
REP-SCI-[REDACTED] - period 01/08/2015 > 31/01/2018
01 Feb 2018
Draft
Submitted

Launch new interaction

Scientific Part contribution
Scientific Report composition

Process documents
Process communications
Process history

Continuous Reporting
[REDACTED] - period 06 Apr 2016
06 Apr 2016
Started

Continuous reporting data

Grant Management
Scientific Report

ERC-STG

Period No: 1 Duration (months): 30
Reporting Period : [01 Aug 2015 - 31 Jan 2018]

Summary for publication	Deliverables Ethics, DMP, Other Reports	Publications	Patents (IPR)	Project achievements	Problems and difficulties	Expeditions and awards	Dissemination and outputs	Keywords
✓	i	✓	✓	✗	✓	✓	✗	✗

SyGMA
Systems for Grant Management

Project Continuous Report

ERC-ADG

Call: ERC-2014-ADG
Topic: ERC-ADG-2014 Unit: ERCEA/C/03

Summary for publication	Deliverables	Publications	Dissemination and outputs	Patents (IPR)	Gender
✓	i	✓	✓	✓	✓

ERC Contin



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Grant Management
ERC-CO
THE FRAMEWORK PROGRAMME FOR RESEARCH AND INNOVATION
HORIZON 2020
Call: ERC-2014-CoG
Topic: ERC-CoG-2014
Unit: ERCEA

Publications

This project does not currently have any publications

Project publications (5 publications)

No.	Type	Title
1	Article	Clonal Proliferation
2	Article	Lymph Node Stroma
3	Chapter	Imaging the Lymph Node Stroma

Publication Data

DOI	10.1007/978-1-4939-776
Type of publication	Chapter in a Book
Repository Link	http://link.springer.com/10.1007/978-1-4939-776
Link to the publication	
Title	Imaging the Lymph Node Stroma
Authors	
Title of the Journal/Proceedings/Books series/Book (for book chapters)	Methods Mol Biol
Number, date or frequency of the Journal/Proceedings/Book	
Relevant Pages	53-61
ISBN	
Publisher	Springer New York
Place of publication	New York, NY
Year of publication	2018
Is this publication available in Open-Access, or will it be made available?	<input checked="" type="radio"/> Yes - available in Green Open Access <input type="radio"/> Yes - available in Gold Open Access <input type="radio"/> No
Length of the Embargo, if any	months
Is this a peer-reviewed publication?	<input checked="" type="radio"/> Yes <input type="radio"/> No
Is this a joint public/private publication?	<input type="radio"/> Yes <input checked="" type="radio"/> No

**Repository link:
pointing to
postprint/AAM in
Open Access
repository**

**Add detail on
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DOI	Repository Link
10.1007/978-1-4939-776	http://link.springer.com/10.1007/978-1-4939-776
10.1007/978-1-4939-776	http://link.springer.com/10.1007/978-1-4939-776
10.1007/978-1-4939-776	http://link.springer.com/10.1007/978-1-4939-776

ERC Mid-term and Final Scientific Report



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Grant Management					Scientific Report								
ERC-STG THE FRAMEWORK PROGRAMME FOR RESEARCH AND INNOVATION HORIZON 2020 Period No: 1 Duration (months): 30 Reporting Period : [01 Aug 2015 - 31 Jan 2018]					Summary for publication ✓	Deliverables Ethics, DMP, Other Reports i	Publications ✓	Patents (IPR) ✓	Project achievements ✗	Problems and difficulties ✓	Expeditions and awards ✓	Dissemination and outputs ✗	Keywords ✗

Project Achievements

Summary of the major achievements since the start of the action

i The information provided in this section will only be available to the ERC Executive Agency

1. Explain in a clear manner the work performed during the period covered by this report

Please connect each achievement, where appropriate, with the relevant publication/conf

1.1 Research and technological achievements along the main objectives/activities (in line with the Description of the action) *

Please provide a concise description of the progress of the scientific work - in relation to the Description of Action given in the grant agreement

main objectives/act

Open Access and Open Research Data Pilot (ORDP)

Open Access to publications in Horizon 2020



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Open Access means *"free of charge, online access for any user"*

- ✓ There are two main routes to Open Access: **Green** or **Gold Open Access**.
- ✓ **Open Access fees are eligible costs** and can be charged to the ERC grant (if incurred during the project period).
- ✓ No extra funding is available: it is strongly advised to **include an estimate for the Open Access fees in the budget**.
- ✓ ERC Grantees are asked to provide information on Open Access to publications with the **scientific reporting**.

Open Access to publications in FP7 and H2020



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ERC Work Programme	2007-2011 (FP7)	2012, 2013 (FP7)	2014 onwards (H2020)
Provision in ERC Grant Agreement	No formal obligations	Special Clause 39 (excluding PoC projects)	Article 29.2 (including PoC projects)
Types of publications	--	Scientific publications relating to results	Peer-reviewed scientific publications relating to results, including monographs and book chapters
Providing Open Access	Voluntary	"Best efforts"	Obligatory
Maximum embargo period after publication (Green Open Access)	--	All domains: 6 months	Physics & Engineering and Life Sciences: 6 months; Social Sciences & Humanities: 12 months

Green and Gold Open Access to publications



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GREEN

- **'Green' Open Access/ Self-archiving:** deposition of the final peer-reviewed manuscript ("author's accepted manuscript") in an online **repository** upon publication.
- Maximum embargo period: **6 months (12 months SH)**.

GOLD

- **'Gold' Open Access/ Open access publishing:** publication in Open Access journals or in hybrid journals for immediate online access.
- Deposition in an online **repository** upon publication.
- Typically, a **fee** needs to be paid to the journal/publisher ("Article Processing Charge"/APC), which is an **eligible cost** for ERC grants.

Open Access Resources: SHERPA/ RoMEO



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... opening access to research

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Search - Publisher copyright policies & self-archiving

[English](#) | [Español](#) | [Magyar](#) | [Nederlands](#) | [Português](#)

One journal found when searched for: **0028-0836**

Journal:	Nature (ISSN: 0028-0836, EISSN: 1476-4687)
RoMEO:	This is a <u>RoMEO yellow</u> journal
Author's Pre-print:	✓ author can archive pre-print (ie pre-refereeing)
Author's Post-print:	⚡ subject to Restrictions below , author can archive post-print (ie final draft post-refereeing)
Restrictions:	<ul style="list-style-type: none">• 6 months embargo
Publisher's Version/PDF:	✗ author cannot archive publisher's version/PDF
General Conditions:	<ul style="list-style-type: none">• Authors retain copyright

<http://www.sherpa.ac.uk>

(always verify the given information with the journal/publisher!)

Open Access Resources: OAPEN (long-texts)



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ERC Deposit

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ERC deposit

OAPEN works with the European Research Council (ERC) to provide its deposit service for open access books and book chapters to ERC funded researchers.

Under Horizon 2020, beneficiaries of ERC grants must ensure open access to all peer-reviewed scientific publications resulting from the research supported by the grant. This requirement includes monographs and book chapters: researchers are required to deposit their works upon publication, in a repository of their choice. A similar requirement applies to ERC grants funded under FP7 (2012 onwards), where at least 'best efforts' to provide open access have to be demonstrated.

ERC recommends the OAPEN Library for the deposit of monographs, book chapters and other long-text publications. OAPEN provides its deposit service to authors of monographs and book chapters resulting from ERC grants under H2020 and FP7.

The OAPEN Library is a repository set up specifically for OA books. We collect, host and provide access to these books and book chapters in order to maximise



www.oapen.org

Open Research Data Pilot: Opt-In/Out



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Opt-In to the Pilot **by default**; Art 29.3 is included in the Grant Agreement.

This Opt-In can be deselected in the proposal.

The ERCEA asks the Principal Investigator to confirm their decision during the grant signature phase .

Principal Investigators have the possibility to opt out of the ORDP completely at any stage, including retro-actively.

The participation in the pilot is not part of the evaluation of ERC proposals!

Open Research Data Pilot: Participation



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The participation in the pilot entails:

- ✓ Submitting a **Data Management Plan** at month six of the start of the project.
- ✓ Depositing the data in a **research data repository** (primarily the data needed to validate the results).
- ✓ Taking measures to make it possible to **access, mine, exploit, reproduce and disseminate the data free of charge**.
- ✓ Providing information about **tools and instruments** necessary for validating the results (where possible, provide these tools and instruments).

Open Research Data Pilot: Data Management Plan



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- The ERC **Data Management Plan*** template offers a set of guiding questions.
- You may also use your institution's template, provided that it addresses the main aspects, such as the FAIR principle:
 - ✓ Making data **Findable**,
 - ✓ Making data openly **Accessible**,
 - ✓ Making data **Interoperable**,
 - ✓ Increase data **Re-use**,
 - ✓ Allocation of **resources and data security**.

A screenshot of the ERC Open Research Data Management Plan (DMP) template form. The form is titled 'ERC OPEN RESEARCH DATA MANAGEMENT PLAN (DMP)' and features the ERC logo. It includes fields for 'Project Acronym' and 'Project Number'. Below these fields, there is a section for a 'SUMMARY' with a large empty box for text entry. The form also contains instructions on how to use the template and what sections should describe.

ERC OPEN RESEARCH DATA MANAGEMENT PLAN (DMP)

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Project Acronym	Project Number

Template for the ERC Open Research Data Management Plan (DMP)¹. The following sections should describe how you plan to make the project data Findable, Accessible, Interoperable and Reusable (FAIR). Each of the following five issues should be addressed with a level of detail appropriate to the project.

SUMMARY (dataset² reference and name; origin and expected size of the data generated/collected; data types and formats)

* Template available at http://ec.europa.eu/research/participants/data/ref/h2020/gm/reporting/h2020-erc-tpl-oa-data-mgt-plan_en.docx

ERC Support and Resources



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- ✓ The ERC Scientific Follow-up team:
ERC-SCIENTIFIC-FOLLOW-UP@ec.europa.eu
- ✓ The ERC Open Access team:
ERC-OPEN-ACCESS@ec.europa.eu
- ✓ The ERC website:
<https://erc.europa.eu/funding-and-grants/managing-project/open-access>